

# Exotic quantum states of intermediate correlated fermion systems and possible realization in optical lattice

Su-Peng Kou (寇谏鹏)  
Beijing Normal University



# Outline

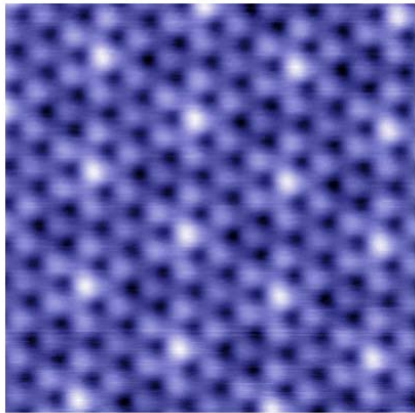
- Introduction
- Motivation
- Exotic quantum states of intermediate correlated electron systems
- Experimental realization in cold atoms
- Conclusion

Collaborators: **Jing He, Gao-Yong Sun**, Lan-Feng Liu, Ya-Jie Wu, Yang-Hua Zong, Ying Liang, ShiPing Feng

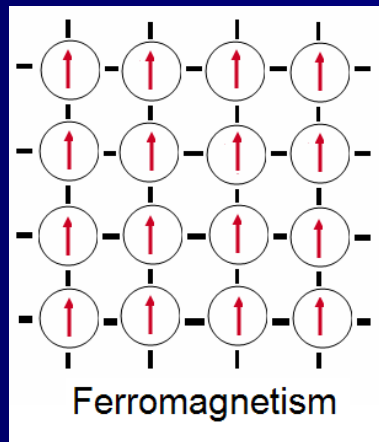
# I. Introduction 1: exotic quantum states

## Traditional orders

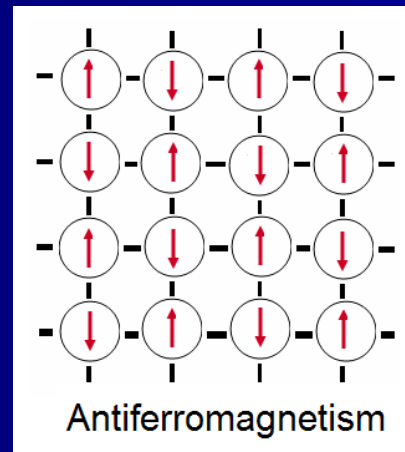
NbSe<sub>2</sub>



Charge density wave



Ferromagnetism

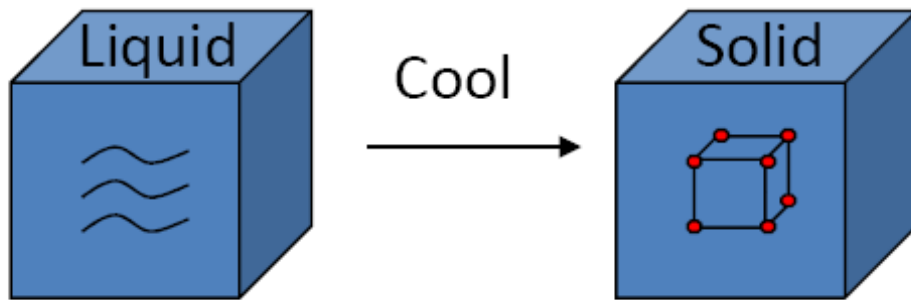


Antiferromagnetism



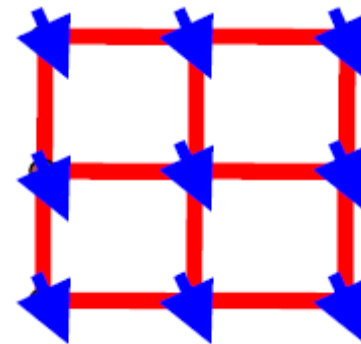
# Landau paradigm

- **Solids** (broken translation symmetry)



*order parameter - density*

- **Magnets** (broken spin symmetry)



*magnetization*

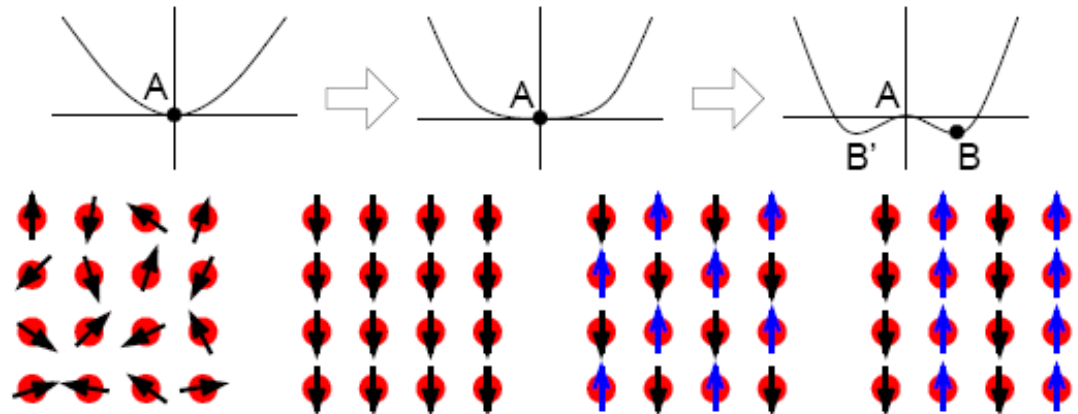
# Landau paradigm

## Landau symmetry breaking theory:

Different organizations = different symmetries

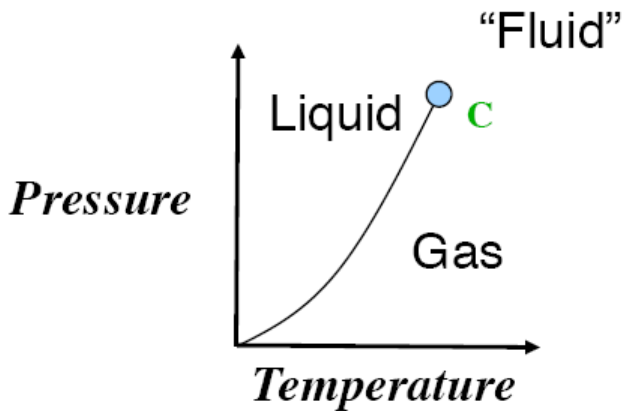
Phase transition = symmetry breaking

Landau

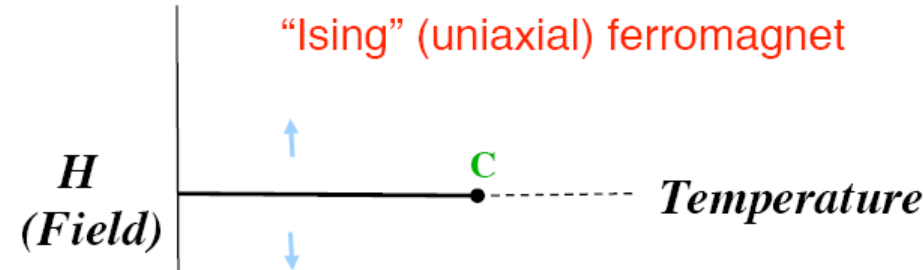


- The critical parameters between a classical continuous phase transition are determined by the dimension of the system and the degree freedom of the order parameters.

# Universal law for traditional phase transitions



$$\rho_L - \rho_G \sim \left( \frac{T_C - T}{T_C} \right)^\beta$$



$$M_\uparrow - M_\downarrow \sim \left( \frac{T_C - T}{T_C} \right)^\beta$$

Experiment :  $\beta = 0.322 \pm 0.005$

Theory :  $\beta = 0.325 \pm 0.002$

“Universality” at continuous phase transitions (Wilson, Fisher, Kadanoff, ...)

# 多体物理新奇物态

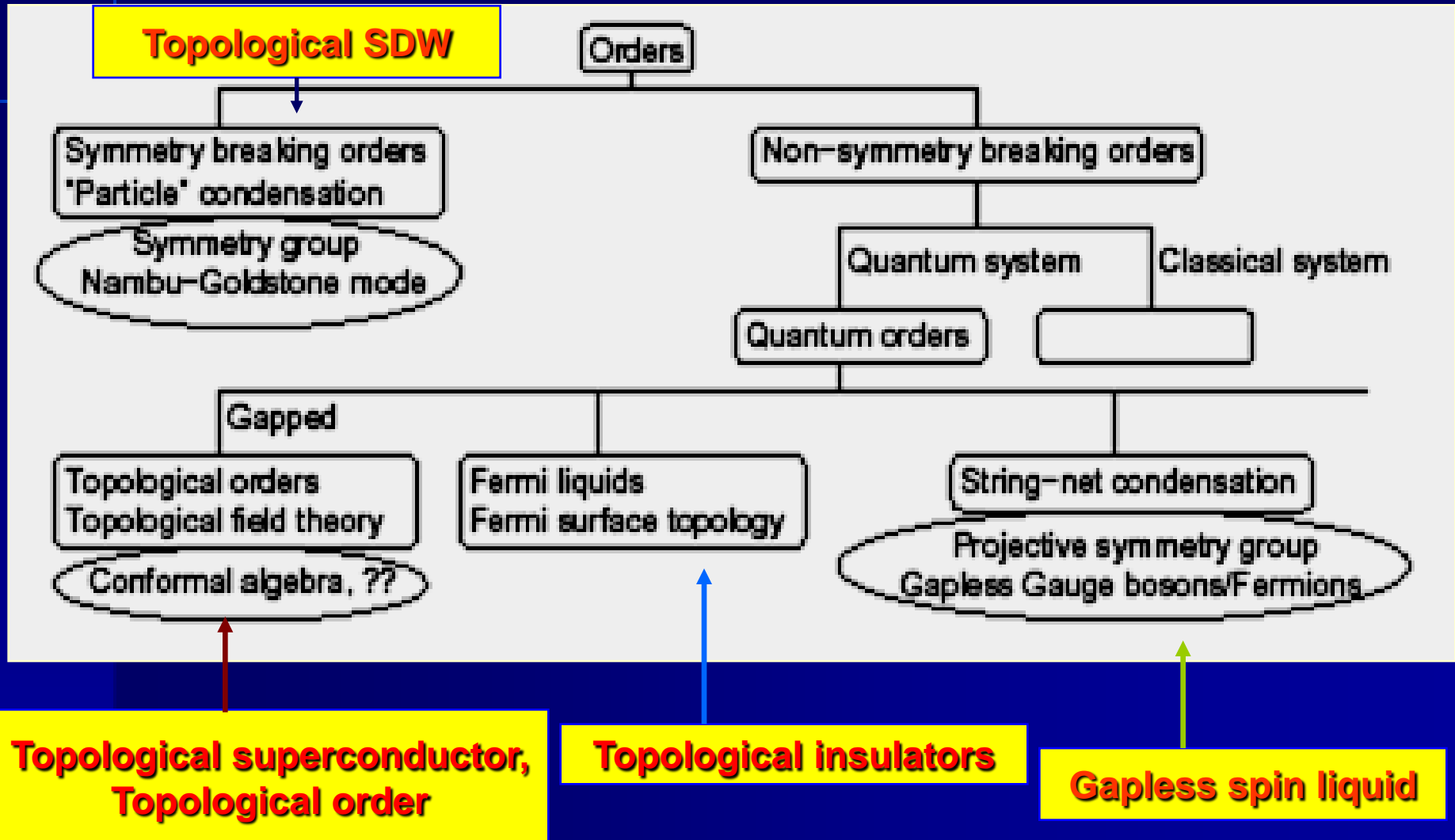
```
graph TD; A([多体物理新奇物态]) --> B([关联]); A --> C([拓扑]); B <--> C;
```

关联



拓扑

# Keywords : Roadmap for exotic states





# Topological insulators

## Topological Insulators

= Bulk insulators with **topologically protected delocalized states on their boundary**

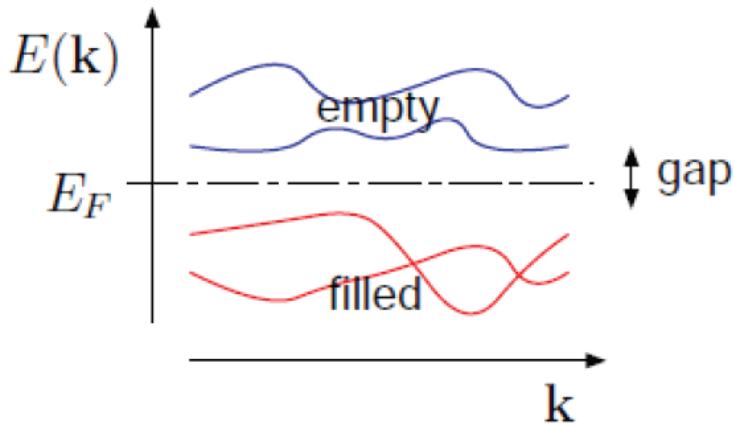
Well-known example: Quantum Hall Effect

QH insulators  $\longrightarrow n = \dots, -2, -1, 0, 1, 2, \dots$  edge states  
 $\longrightarrow \mathbb{Z}$  topological insulator

Novel class:  $\mathbb{Z}_2$  TIs:  $n = 0$  or  $n = 1$

First realization: 2D Quantum Spin Hall Effect insulators

- "bulk" point of view



$$\pi_2 [U(m+n)/U(m) \times U(n)] = \mathbb{Z}$$

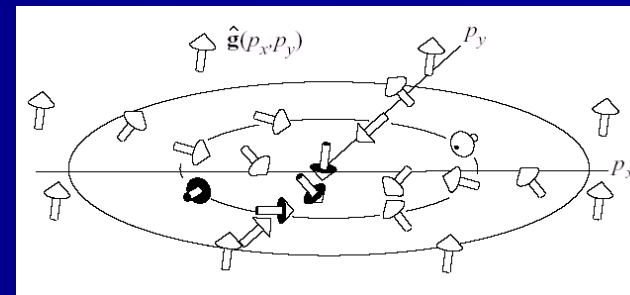
Integer quantum Hall state –  
Z topological insulator

Thouless-Kohmoto-Nightingale-den Nijs (1982)

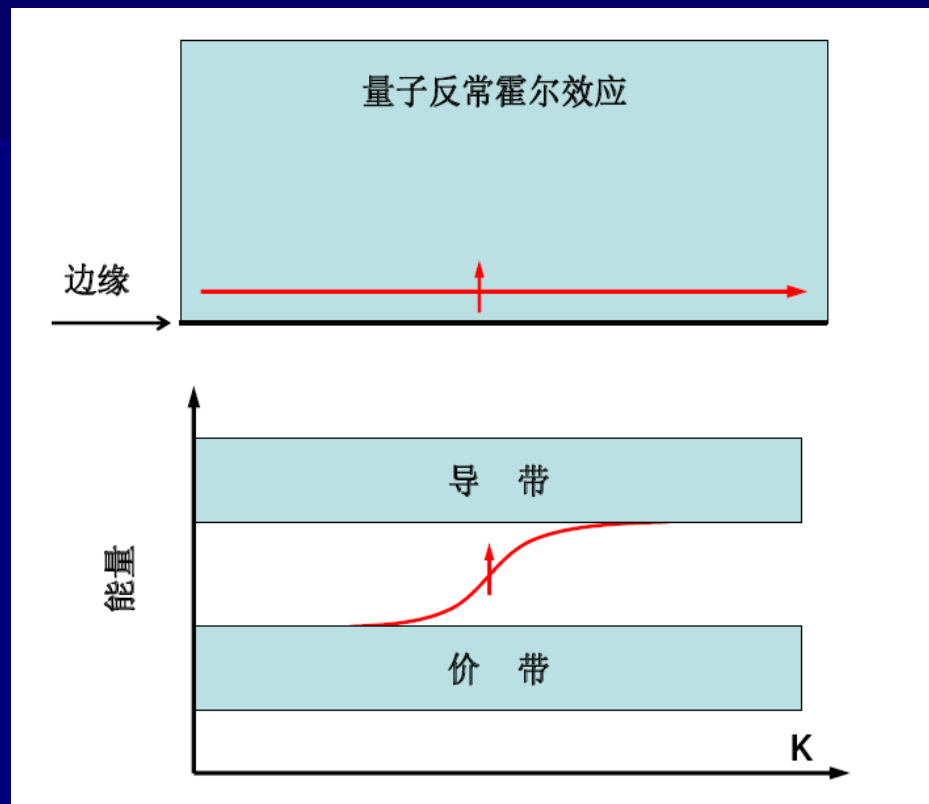
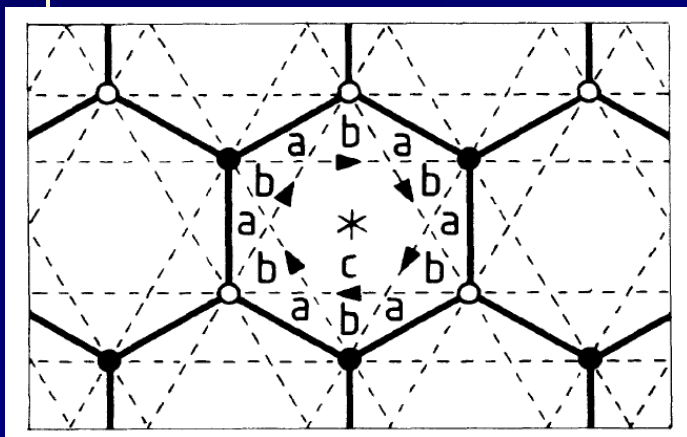
$$\sigma_{xy} = \frac{e^2}{h} \sum_{\text{bands}} \frac{i}{2\pi} \int d^2k \left( \left\langle \frac{\partial u(k)}{\partial k_x} \middle| \frac{\partial u(k)}{\partial k_y} \right\rangle - \left\langle \frac{\partial u(k)}{\partial k_y} \middle| \frac{\partial u(k)}{\partial k_x} \right\rangle \right)$$

topological invariant ! "Chern number"

$$n = \sum_{\text{bands}} \frac{i}{2\pi} \int d^2k \left( \left\langle \frac{\partial u}{\partial k_1} \middle| \frac{\partial u}{\partial k_2} \right\rangle - \left\langle \frac{\partial u}{\partial k_2} \middle| \frac{\partial u}{\partial k_1} \right\rangle \right)$$



# The Haldane model



$$H_H = -t \sum_{\langle i,j \rangle, \sigma} (\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + h.c.) - t' \sum_{\langle\langle i,j \rangle\rangle, \sigma} e^{i\varphi_{ij}} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma}$$

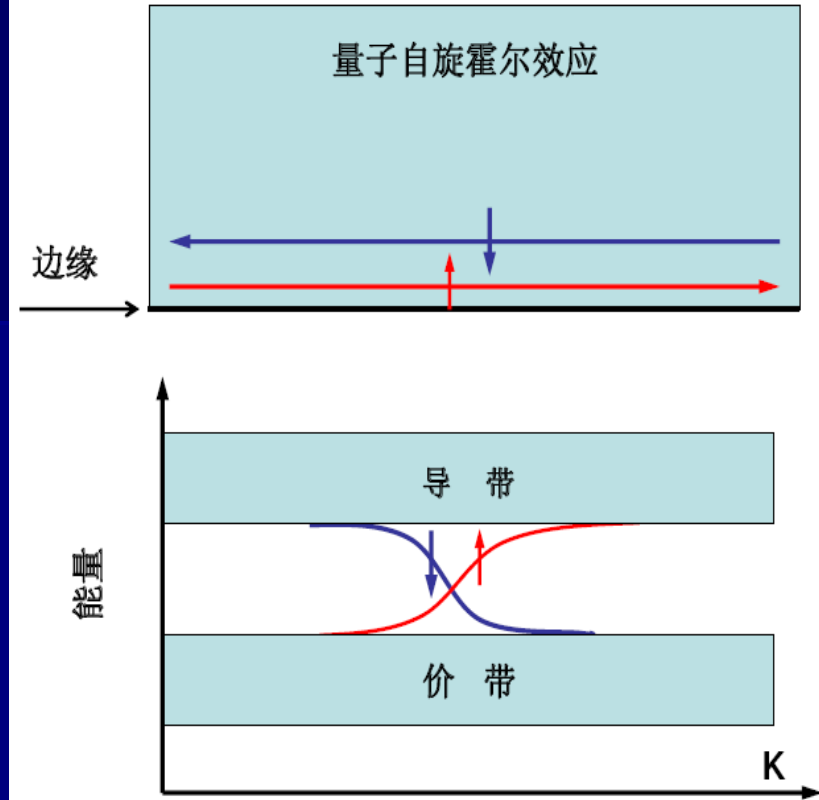
# Kane-Mele model

Kane and Mele, Phys. Rev. Lett. 95, 146802 (2005)

$$\mathcal{H} = -t \sum_{\langle ij \rangle} \sum_{\sigma} c_{i\sigma}^{\dagger} c_{j\sigma} + i\lambda \sum_{\langle\langle ij \rangle\rangle} \sum_{\sigma\sigma'} \nu_{ij} \sigma_{\sigma\sigma'}^z c_{i\sigma}^{\dagger} c_{j\sigma'}$$

$$(-1)^{\nu} = \prod_{i=1}^4 \delta_i.$$

**Z2 topological invariable :**  
**Pfaffian = zero counting**



$$\delta_i = \frac{\sqrt{\det[w(\Gamma_i)]}}{\text{Pf}[w(\Gamma_i)]} = \pm 1,$$

$$w_{mn}(\mathbf{k}) \equiv \langle u_{m-\mathbf{k}} | \Theta | u_{n\mathbf{k}} \rangle.$$

# Topological superconductors

- All excitations have mass gaps
- Quantized vortex with induced fermion number
- Majorana edge states
- Exotic quantum statistics and nontrivial fusion rule

$dx+idy$ -wave SC

$px+ipy$ -wave SC

# Topological Superconductors

$$K_{\text{eff}} = \sum_{\mathbf{k}} \left[ \xi_{\mathbf{k}} c_{\mathbf{k}}^{\dagger} c_{\mathbf{k}} + \frac{1}{2} \left( \Delta_{\mathbf{k}}^* c_{-\mathbf{k}} c_{\mathbf{k}} + \Delta_{\mathbf{k}} c_{\mathbf{k}}^{\dagger} c_{-\mathbf{k}}^{\dagger} \right) \right]$$

$$\Delta_p(\mathbf{k}) = \Delta_0 \hat{z} (\sin k_x + i \sin k_y)$$

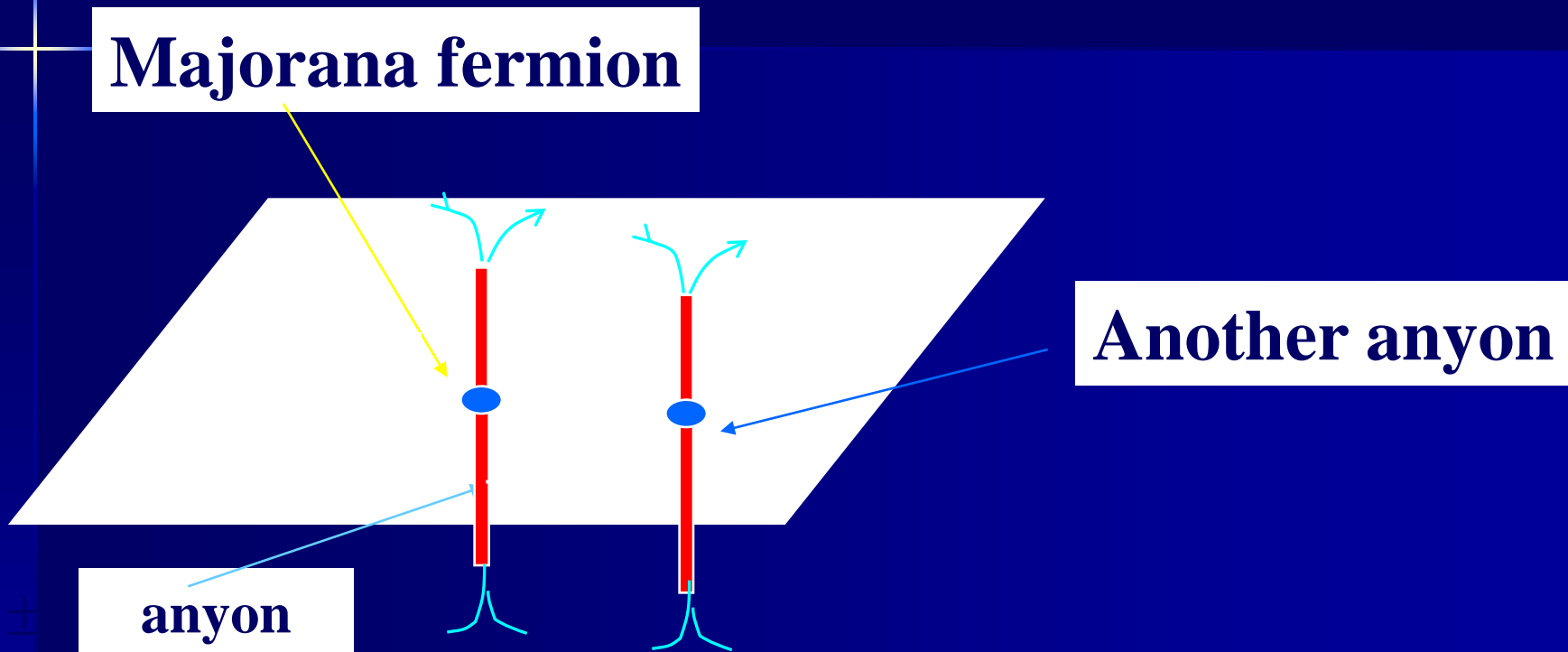
$$\xi_{\mathbf{k}} = \epsilon_{\mathbf{k}} - \mu$$

- $\mu > 0$ , non-Abelian Topological SC state
- $\mu < 0$ , trivial SC state

Read, Green, 2000.

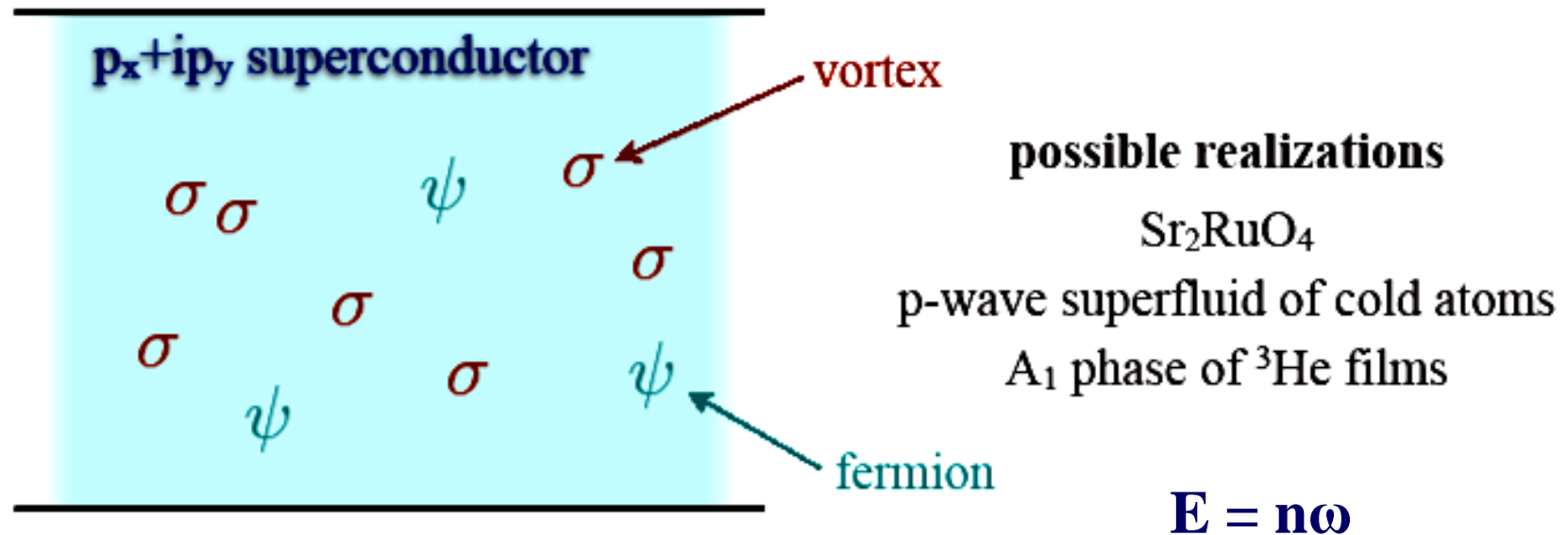
S. P. Kou and X.G. Wen, 2009.

# Ising anyons : $\pi$ -Flux binding a Majorana Fermion



**$SU(2)_2$  non-Abelian statistics between  $\pi$ -flux with a trapped majorana fermion.**

# $p_x + ip_y$ superconductors



## Topological properties of $p_x + ip_y$ superconductors

Read & Green (2000)

$SU(2)_2$

$\sigma$ -vortices carry "half-flux"  $\phi = \frac{hc}{2e}$

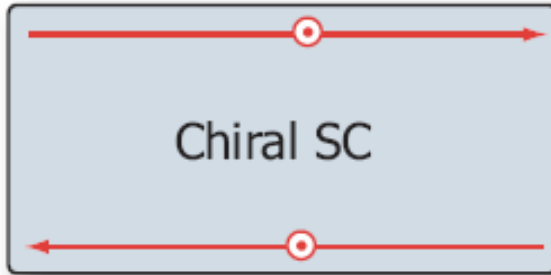
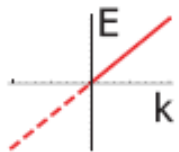
characteristic "zero mode"

$2N$  vortices give degeneracy of  $2^N$ .

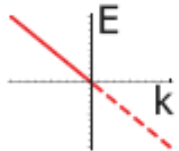
$$\sigma \times \sigma = 1 + \psi$$



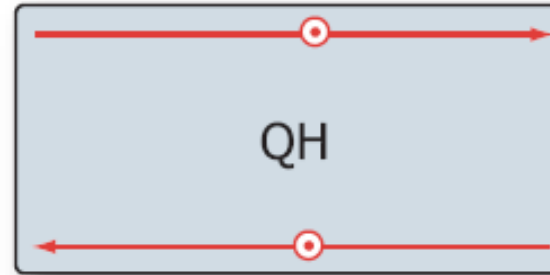
# Edge state of topological superconductors and topological insulators



Chiral SC

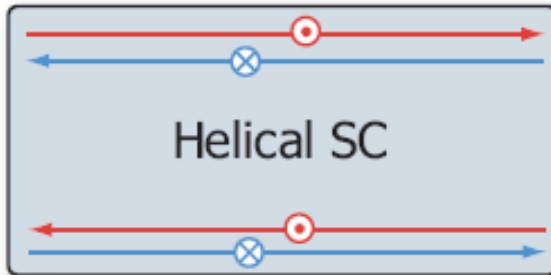
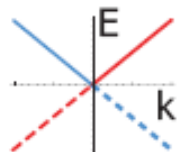
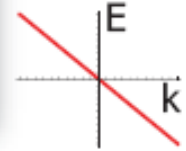
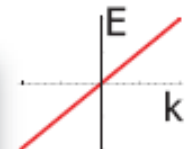


Chiral Majorana fermions

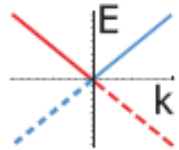


QH

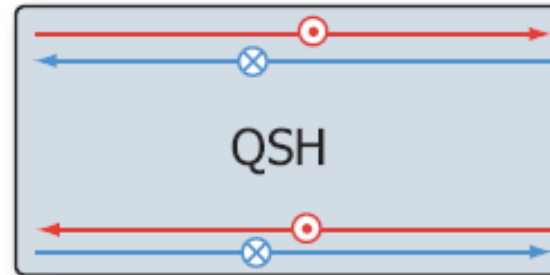
Chiral fermions



Helical SC

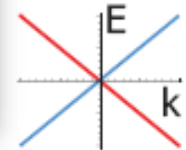
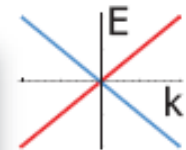


Massless Majorana fermions



QSH

Massless Dirac fermions



# Classification of topological states : ten-fold way of random matrix

System	Cartan nomenclature	TRS	PHS	SLS	Hamiltonian
standard (Wigner-Dyson)	A (unitary)	0	0	0	$U(N)$
	AI (orthogonal)	+1	0	0	$U(N)/O(N)$
	AII (symplectic)	-1	0	0	$U(2N)/Sp(2N)$
chiral (sublattice)	AIII (chiral unit.)	0	0	1	$U(N+M)/U(N) \times U(M)$
	BDI (chiral orthog.)	+1	+1	1	$SO(N+M)/SO(N) \times SO(M)$
	CII (chiral sympl.)	-1	-1	1	$Sp(2N+2M)/Sp(2N) \times Sp(2M)$
BdG	D	0	+1	0	$SO(2N)$
	C	0	-1	0	$Sp(2N)$
	DIII	-1	+1	1	$SO(2N)/U(N)$
	CI	+1	-1	1	$Sp(2N)/U(N)$

Altland-Zirnbauer (1997) : "ten-fold way"

AZ\ d	0	1	2	3	4	5	6	7	8	9
A	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	...
AIII	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	...
AI	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	...
BDI	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	...
D	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	...
DIII	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	...
AII	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	...
CII	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	...
C	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	...
CI	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	...

IQHE (pointing to d=2, AII)  
 p+ip wave SC (pointing to d=6, AII)  
 polyacetylene (pointing to d=1, AII)  
 3He B (pointing to d=3, AII)  
 TMTSF (pointing to d=2, DIII)  
 Z2 topological insulator (pointing to d=2, CII)  
 QSHE (pointing to d=2, DIII)  
 d+id wave SC (pointing to d=2, CII)

SR, Schnyder, Furusaki, Ludwig (for d=1,2,3, 2008)  
 Kitaev (all d and periodicity, 2009)

AZ class	SU(2)	TRS	Constraints on Hamiltonians	Examples in 2D
D	×	×	$t_x \mathcal{H}^T t_x = -\mathcal{H}$	Spinless chiral ( $p \pm ip$ )-wave
DIII	×	○	$t_x \mathcal{H}^T t_x = -\mathcal{H}, i s_y \mathcal{H}^T (-i s_y) = \mathcal{H}$	Superposition of ( $p + ip$ )- and ( $p - ip$ )-wave
A	△	×	no constraint	Spinful chiral ( $p \pm ip$ )-wave
AIII	△	○	$r_y \mathcal{H} r_y = -\mathcal{H}$	Spinful $p_x$ - or $p_y$ -wave
C	○	×	$r_y \mathcal{H}^T r_y = -\mathcal{H}$	( $d \pm id$ )-wave
CI	○	○	$r_y \mathcal{H}^T r_y = -\mathcal{H}, \mathcal{H}^* = \mathcal{H}$	$d_{x^2-y^2}$ - or $d_{xy}$ -wave

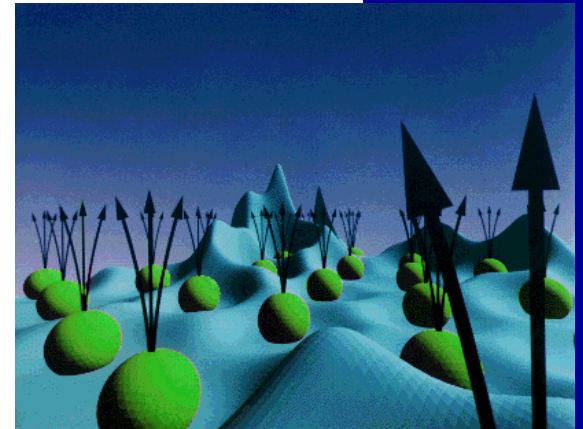
# Topological Orders

**Topological order – an emergent world in a many-body system**

- **All excitations have mass gaps**
- **Topological excitations – anyons with fractional statistics**
- **Effective theory - topological field theory**
- **No local order parameters – string net condensation**
- **Edge states**

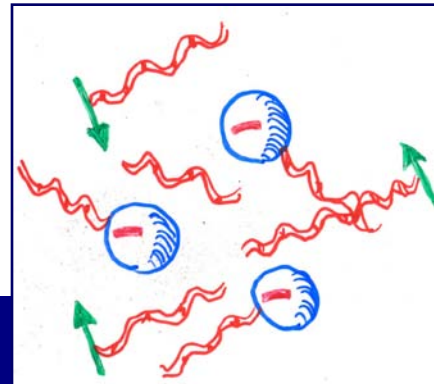
# Topological orders in condensed matter physics

- **Fractional Quantum Hall (FQH) states**
- **Topological orders in spin liquids:**  
chiral spin liquid,  
 $Z_2$  topological order,  
non-Abel topological order.
- **Rotating cold atoms**
- **FQH states in flat band models**



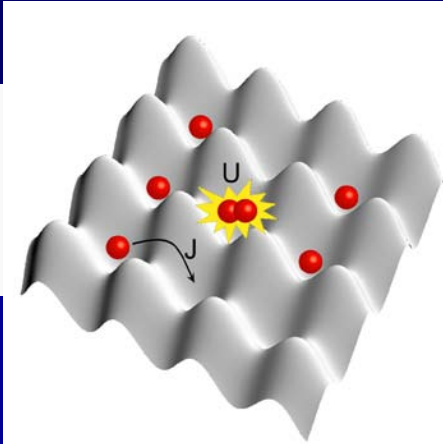
# Introduction 2: strongly correlated fermion systems

- Mott Physics
- High  $T_c$  cuprates
- Non-perturbative approaches
- Spin physics – VBS, spin liquid
- ...



# Hubbard model – typical model for Mott physics

$$\mathcal{H} = -t \sum_{\langle ij \rangle} [c_{i\alpha}^\dagger c_{j\alpha} + h.c.] + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



Weak coupling

Strong coupling



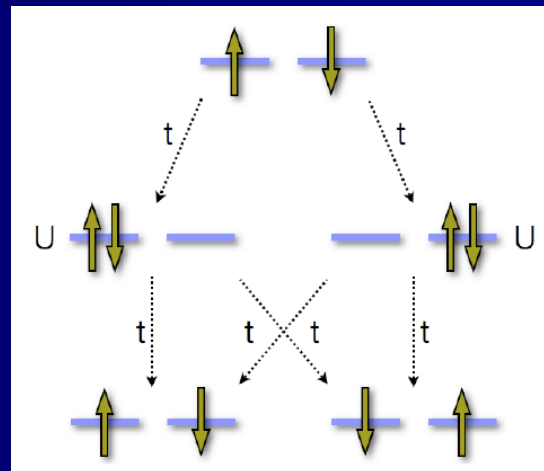
Free Fermi gas,  
Fermi liquid

$U/t$

$$H_{spin} = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j$$

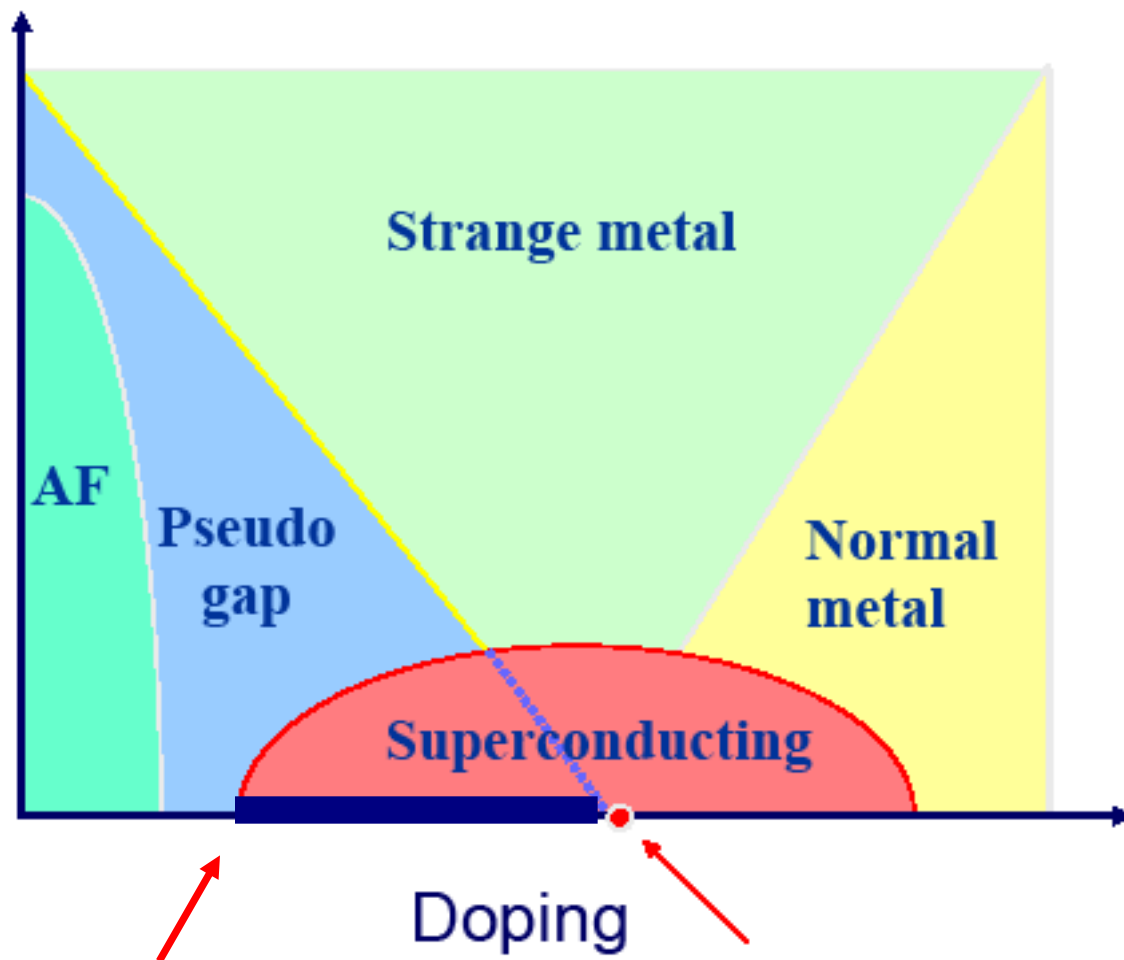
Heisenberg model

$$J=4t^2/U$$



# Key issue: High Tc superconductor

- Quantum Spin Liquid
- phase competing
- Stripe phase,
- D-density wave state
- Quantum critical point
- ...

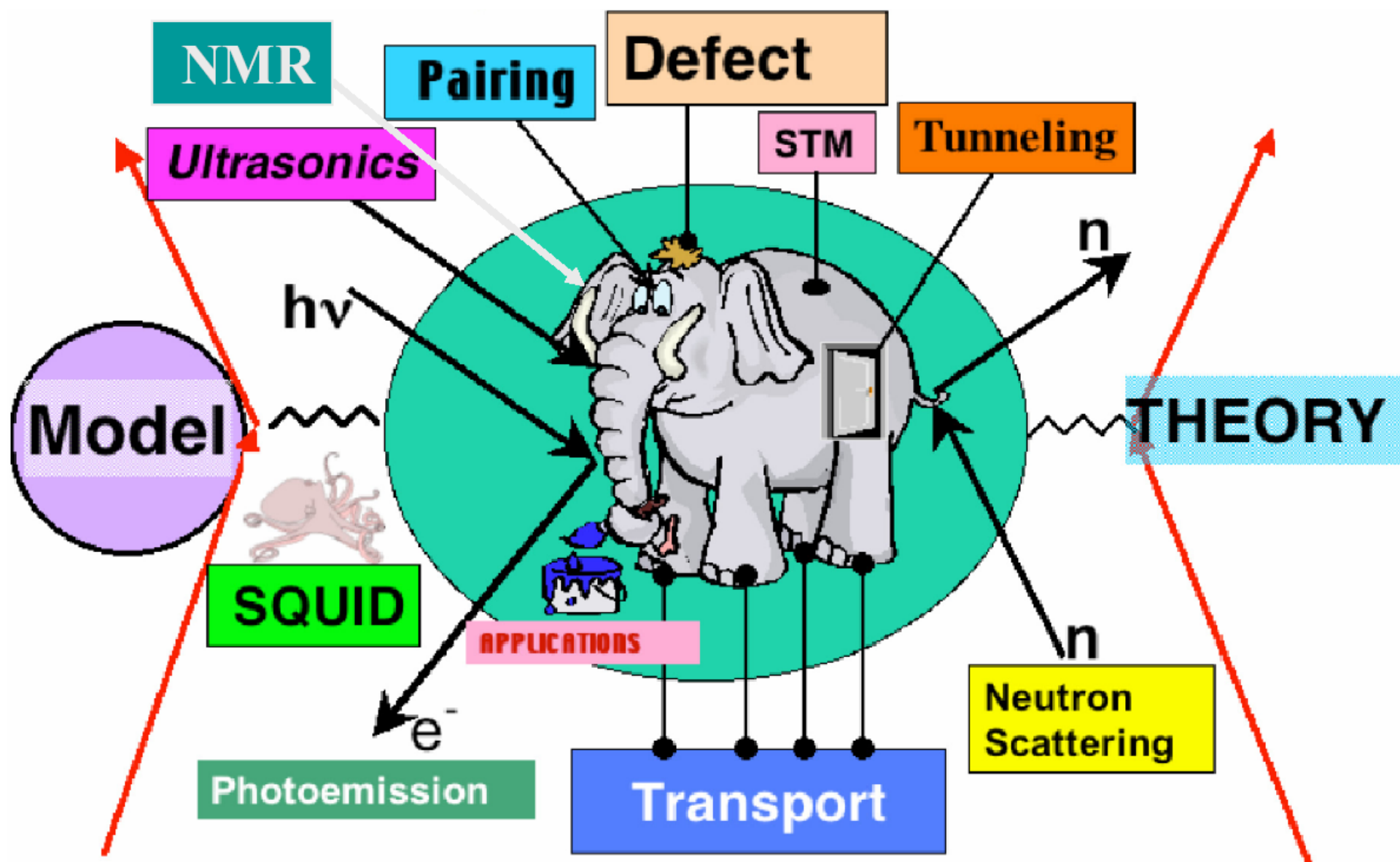


Mott 1977  
Mott insulator

New matter?

Quantum Critical Point?





# Key issue - Nonperturbative

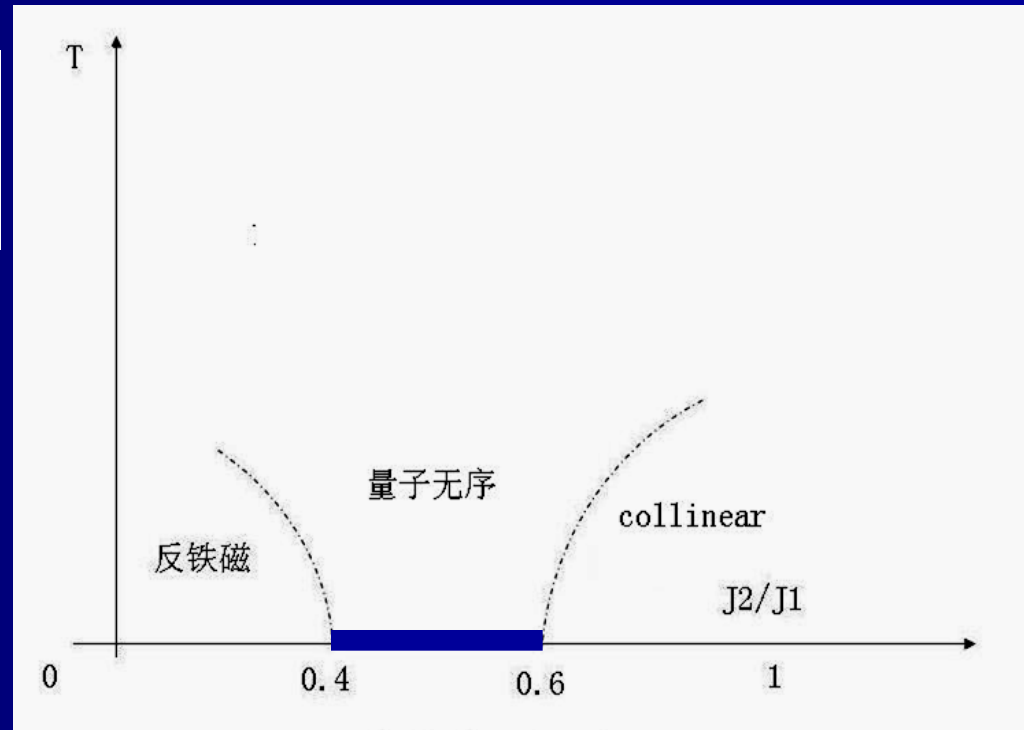
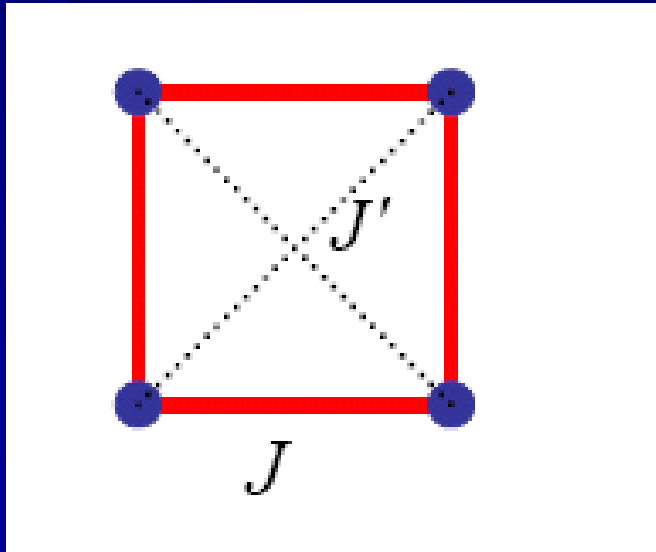


# Key issue : spin physics in strongly correlated fermion system

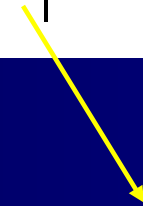
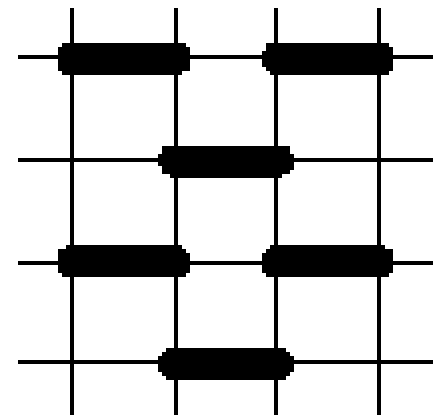
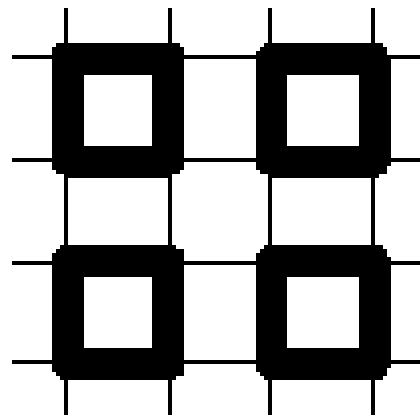
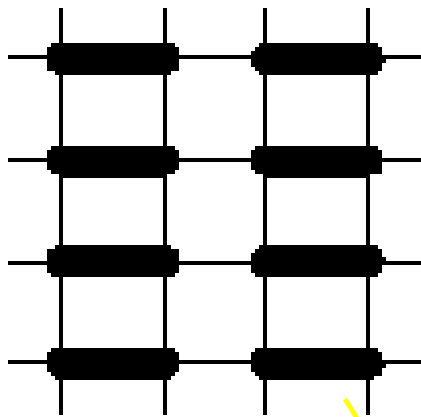
Phase	$2S/\text{cell}$	Order	Degeneracy	Broken sym.	Excitations	Thermo.	Examples
Néel AF p-sublattice	any	spin-spin LRO	$\mathcal{O}(NP)$	$SU(2)$ Translations Point group	Gapless magnons (spin waves)	$C_v \sim T^2$ $\chi \sim \text{const}$	Spin- $\frac{1}{2}$ triangular Heisenberg AF
VBC (§3) (spontaneous)	odd	singlet-singlet LRO coll. spin-spin SRO	$> 1$	Translations Point group	Gapped magnons	$C_v$ and $\chi$ activated	Honeycomb $J_1-J_2$ Checkerboard Square $J_1-J_2$ ?
VBC (§3) (explicit)	even	None	1	None	Gapped magnons	$C_v$ and $\chi$ activated	$\text{SrCu}_2(\text{BO}_3)_2$ $\text{CaV}_4\text{O}_9$
VBS (§3.3)	even	"String" LRO	1	None	Gapped magnons Edge excitations	$C_v$ and $\chi$ activated	AKLT Hamiltonians $S = 1$ kagome AF ?
RVB SL (§5.5 §5.6, §6.4)	odd	Topological non-coll. SRO	4 (torus)	None	Gapped spinons Gapped visons	$C_v$ and $\chi$ activated	MSE (§6) QDM on triangular and kagome lattices
Kagome (§7) Heisenberg AF	3	None ?	$\sim 1.15^N$ ?	None ?	Gapped triplets ? Gapless singlets	$C_v \sim T^3$ ? $\chi$ activated	

# 2D J1-J2 Model

$$H = J_1 \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J_2 \sum_{\langle\langle i,j \rangle\rangle} \mathbf{S}_i \cdot \mathbf{S}_j,$$



# Valence bond crystal (solid)



Spin singlet

# Spin liquid – emergent in physics

## Definition :

*Spin liquid state-an insulator with spin-rotation symmetry and with an odd number of electrons per unit cell.*



No broken symmetry  
+  
Deconfined spinons  
+  
Emergent gauge field



Spin liquid

# Spin liquid

- projected superconductor on lattice!

$$H_{mean} = \sum_{ij} \psi_i^\dagger u_{ij} \psi_j + \sum_i \psi_i^\dagger a_i^l \tau^l \psi_i$$

where  $u_{ij}$  is 2 by 2 matrix and  $\psi^T = (\psi_1, \psi_2)$ . Let  $|\Psi_{mean}^{(u_{ij}, a_i^l)}\rangle$  be the ground state of the above free fermion Hamiltonian, then a many-spin wave function can be obtained by projecting  $|\Psi_{mean}^{(u_{ij}, a_i^l)}\rangle$  into the space with only even number of fermions per site:

$$|\Psi_{spin}^{(u_{ij}, a_i^l)}\rangle = P |\Psi_{mean}^{(u_{ij}, a_i^l)}\rangle$$

where Here the projection operator is given by

$$P = \prod_i \frac{1 + (-1)^{n_i}}{2},$$

where  $n_i = \psi_i^\dagger \psi_i$ .

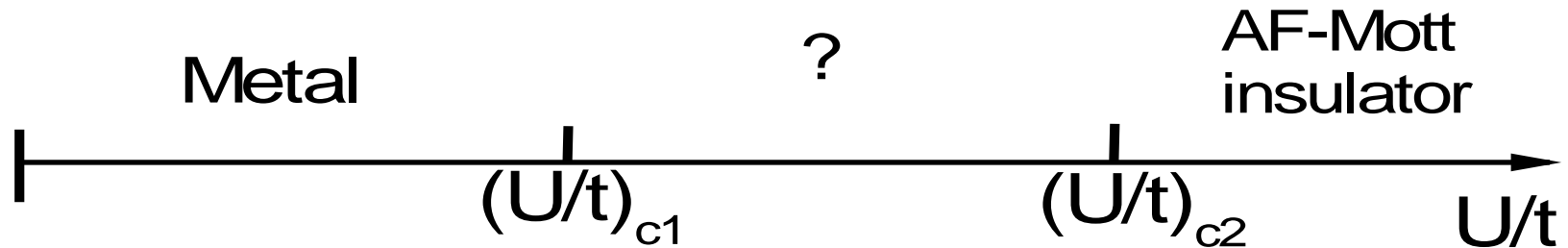
Fermionic-projective-representation (SU(2) slave-Boson representation) of S=1/2 spin models

# **Classification of spin liquids: classification of emergent physics**

- **Z<sub>2</sub> spin liquid (topological or gapless)**
- **U(1) spin liquid (algebra)**
- **SU(2) spin liquid (algebra or chiral)**
- **nonAbelian spin liquid (with nonAbelian anyon)**
- **...**



# II. Exotic quantum states of intermediate correlated electron systems



- Spin liquid state with spinon Fermi surface near Mott transition of the Hubbard model on the triangle lattice
- Spin liquid state near Mott transition on the honeycomb lattice
- **Spin liquid state near Mott transition on the  $\pi$ -flux Hubbard model**
- Spin liquid state of interacting Kane-Mele model
- **Spin liquid state and topological spin density wave of interacting spinful Haldane model**
- Spin liquid state of 3D interacting topological insulators

# Starting point : The generalized Hubbard models

$$\mathcal{H} = - \sum_{\langle i,j \rangle, \sigma} t_{ij} \hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma} + U \sum_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow} - \mu \sum_{i,\sigma} \hat{c}_{i,\sigma}^\dagger \hat{c}_{i,\sigma} + h.c.$$

- **The Hubbard model on square lattice – Spin density wave**
- **The  $\pi$ -flux Hubbard model on square lattice – nodal spin liquid**
- **The Hubbard model on honeycomb lattice – puzzle of spin liquid**
- **The interacting Kane-Mele model – Z<sub>2</sub> spin liquid**
- **The interacting spinful Haldane model – chiral spin liquid and topological spin density wave**

# 1. Spin density wave in the Hubbard model on square lattice

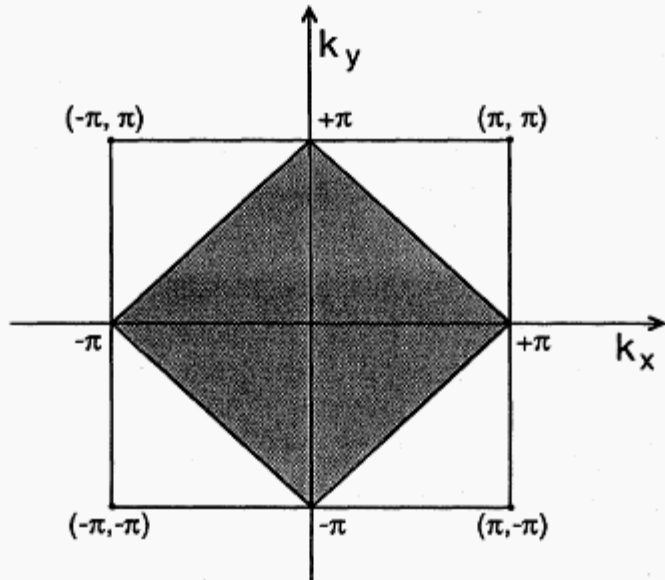
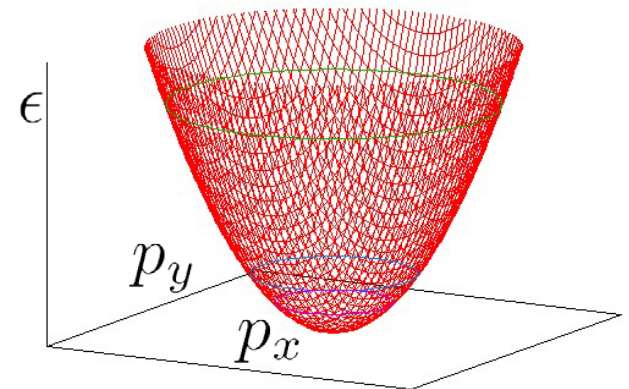
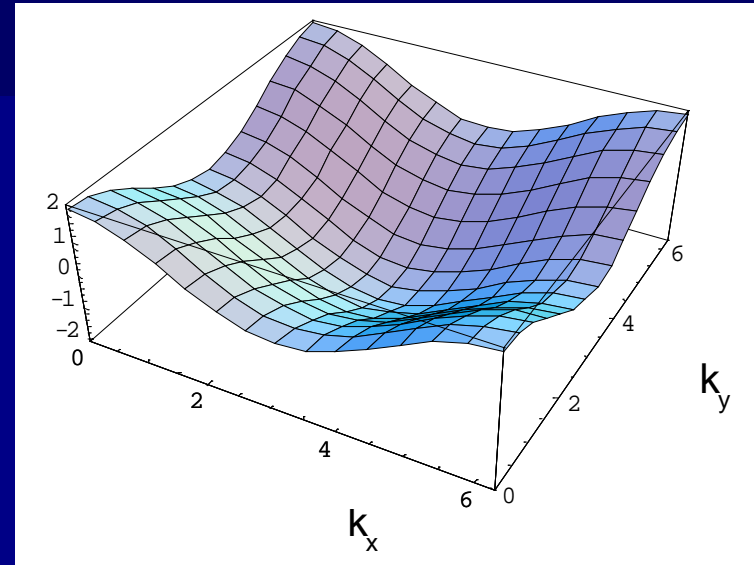


FIG. 9. The Brillouin zone of the original square lattice and the Brillouin zone (shaded area) that corresponds to the magnetic unit cell in the real space.

$$E_k = 2t(\cos k_x + \cos k_y)$$

Perfect nesting



## The Hartree-Fock decoupling

$$\langle \hat{c}_{i,\sigma}^\dagger \hat{c}_{i,\sigma} \rangle = \frac{1}{2} (n + (-1)^i \sigma M)$$

$M$  is the staggered magnetization.

The Hamiltonian can be written as in the Momentum space at half-filling

$$\hat{H} = \sum_{\mathbf{k}, \sigma} \begin{bmatrix} \hat{a}_{\mathbf{k}, \sigma}^\dagger & \hat{b}_{\mathbf{k}, \sigma}^\dagger \end{bmatrix} \begin{bmatrix} -\sigma^z \Delta & \xi_{\mathbf{k}} \\ \xi_{\mathbf{k}}^* & \sigma^z \Delta \end{bmatrix} \begin{bmatrix} \hat{a}_{\mathbf{k}, \sigma} \\ \hat{b}_{\mathbf{k}, \sigma} \end{bmatrix}$$

where

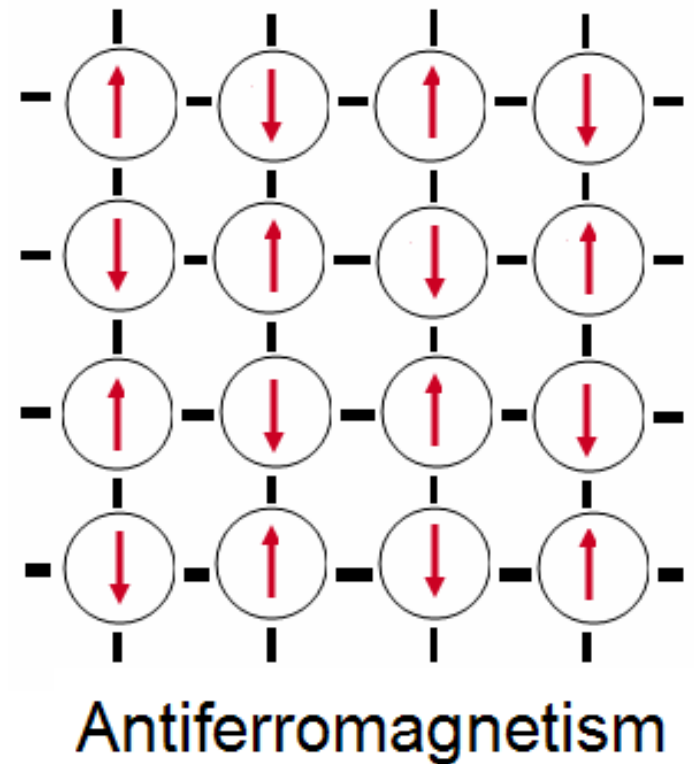
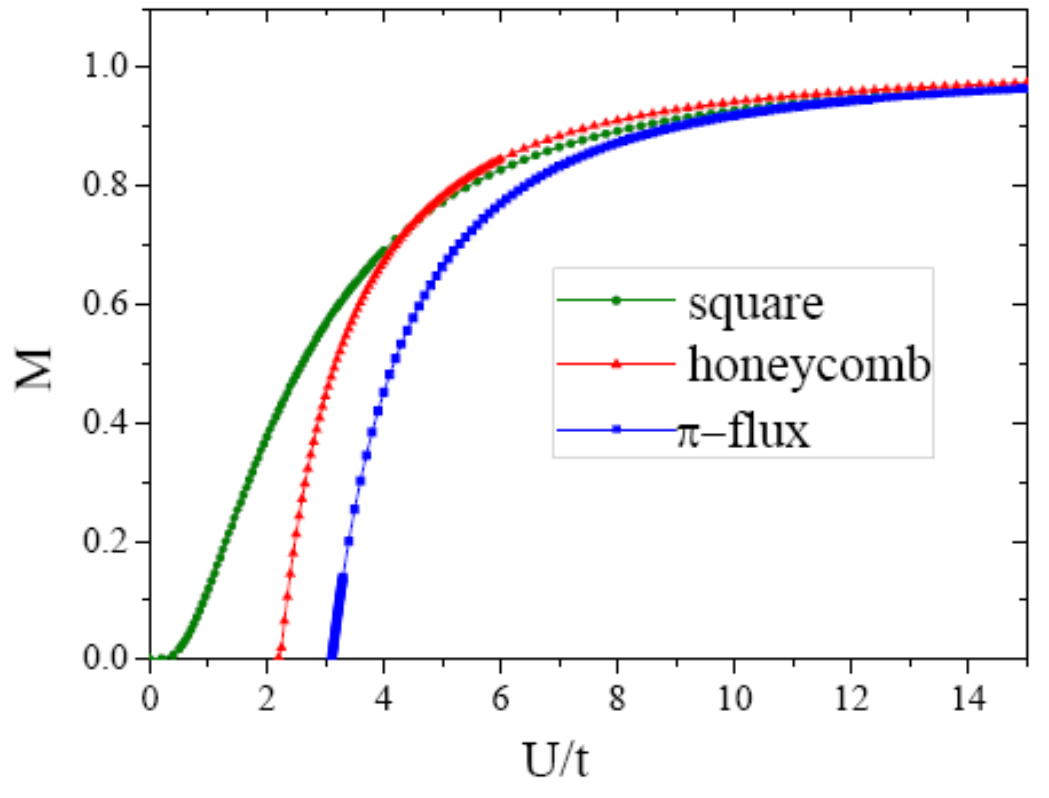
$$\xi_{\mathbf{k}} = - \sum_{\delta} t_{\mathbf{k}, \delta} e^{i\mathbf{k} \cdot \delta}$$

and

$$\Delta = \frac{UM}{2}$$

denotes the energy band gap

# The staggered magnetization: $M$

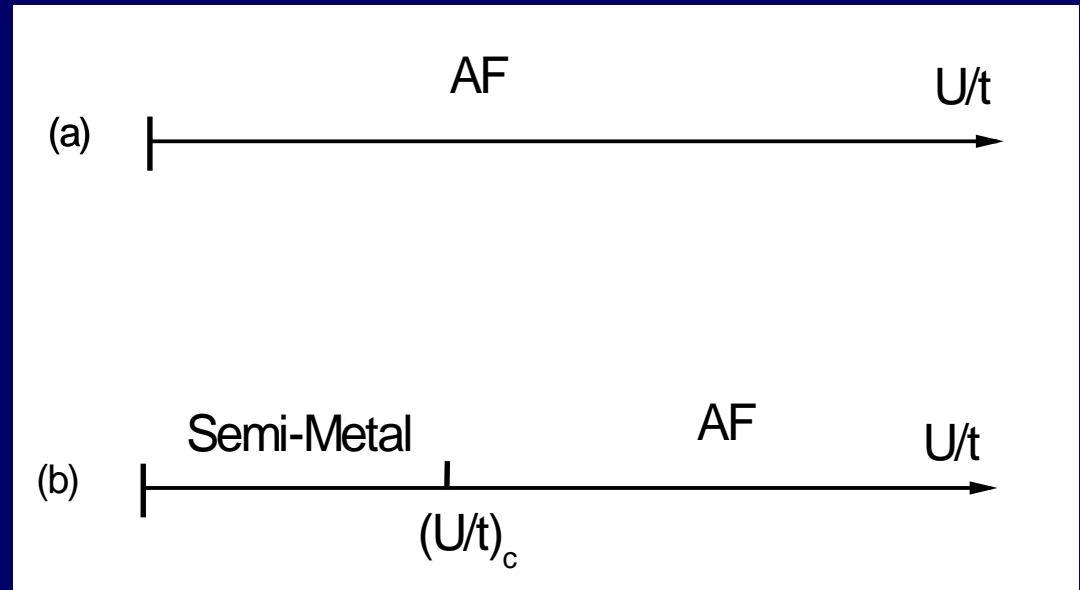


$M \neq 0$

# Ground states of the Hubbard models?

**Note** :  $M \neq 0$  not necessarily imply that there is long range AF order, for in mean field theory, the direction of the spin is chosen to be fixed along z-axis.

One need to examine stability of magnetic order against quantum fluctuations of effective spin moments based on a formulation keeping spin rotation symmetry.



No real Mott transition

$$\sigma_z \rightarrow n \cdot \sigma$$

The NL $\sigma$ M is obtained as by integrating out canting field  $L_i$  and fermion field

$$\mathcal{S}_{\text{NL}\sigma\text{M}} = \frac{1}{2g} \int_0^\beta d\tau \int d^2r \left[ \frac{1}{c} \dot{\mathbf{n}}^2 + c (\nabla \mathbf{n})^2 \right]$$

Transverse spin susceptibility is

$$\frac{1}{\chi^\perp} = \frac{1}{\chi_0^\perp} - 2U$$

Spin stiffness

$$\rho_s = \frac{c}{g}$$

Spin wave velocity

$$c^2 = \rho_s / \chi^\perp$$

One obtains spin stiffness and the transverse spin susceptibility:

$$\chi_0^\perp = \frac{1}{N} \frac{\partial^2 E_0}{\partial B_y^2} \Big|_{B_y=0}, \quad (\rho_s^x, \rho_s^y) = \frac{1}{N} \frac{\partial^2 E_0}{\partial \mathbf{a}^2} \Big|_{\mathbf{a}=0},$$

X. G. Wen, Quantum Field Theory of Many-Body Systems, (Oxford Univ. Press, Oxford, 2004)

# Quantum phase diagram of NL $\sigma$ M

If  $g < g_c$  the ground state is renormalized classical (RC), the spin order parameter is

$$n_0 = \langle \mathbf{n} \rangle = 1 - g/g_c$$

If  $g > g_c$  the ground state is the quantum disordered, and the order parameter is

$$n_0 = \langle \mathbf{n} \rangle = 0$$

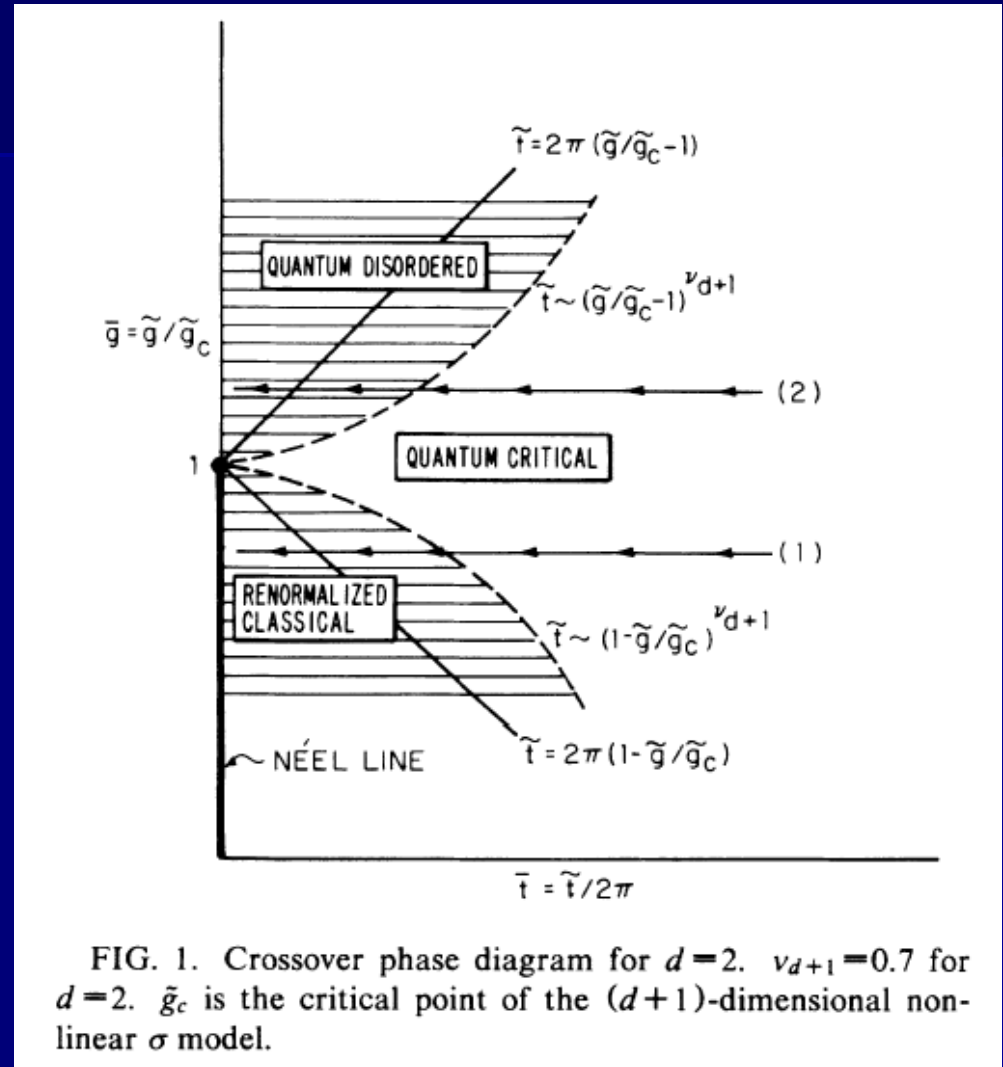
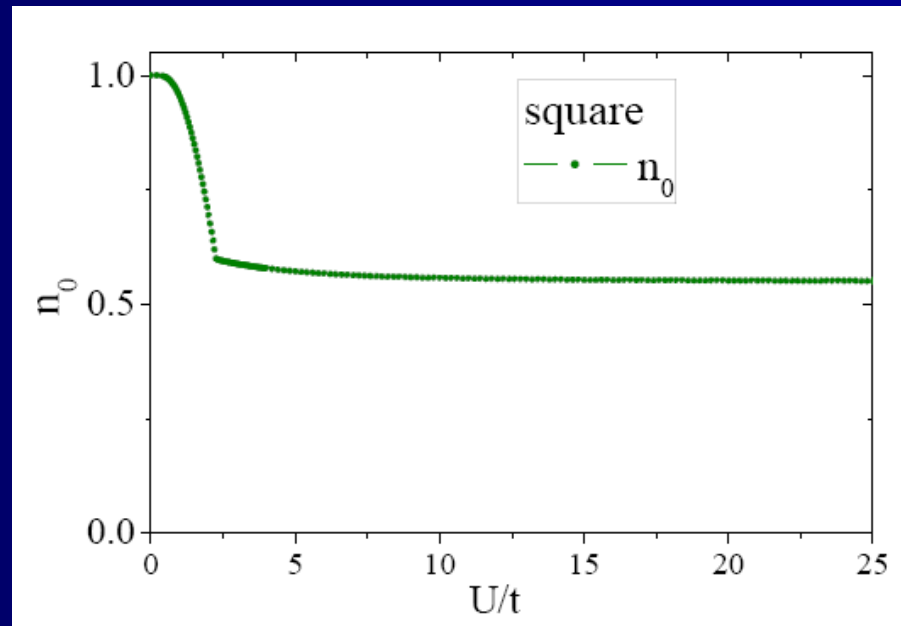


FIG. 1. Crossover phase diagram for  $d=2$ .  $\nu_{d+1}=0.7$  for  $d=2$ .  $\tilde{g}_c$  is the critical point of the  $(d+1)$ -dimensional nonlinear  $\sigma$  model.



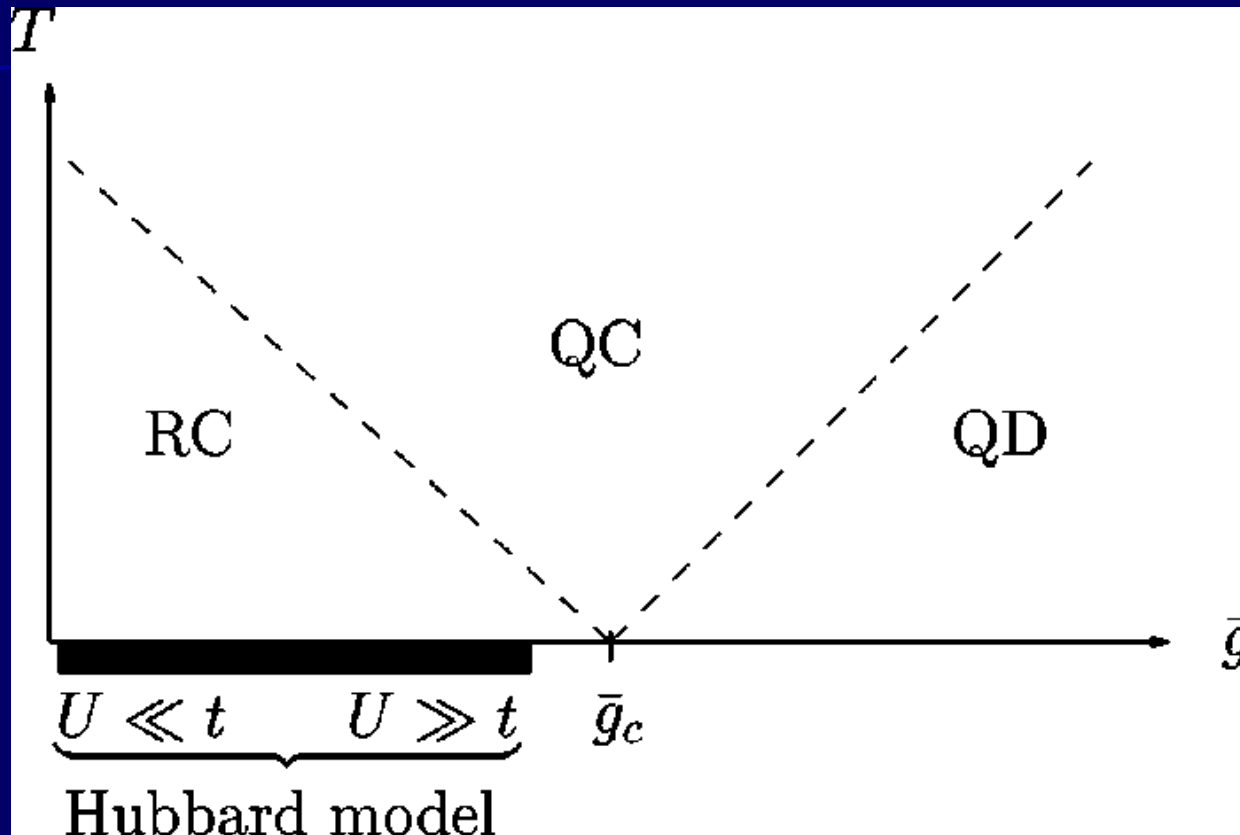
# Results of the Hubbard model on square lattice of spin-fluctuation theory

The fraction condensed spin moments:



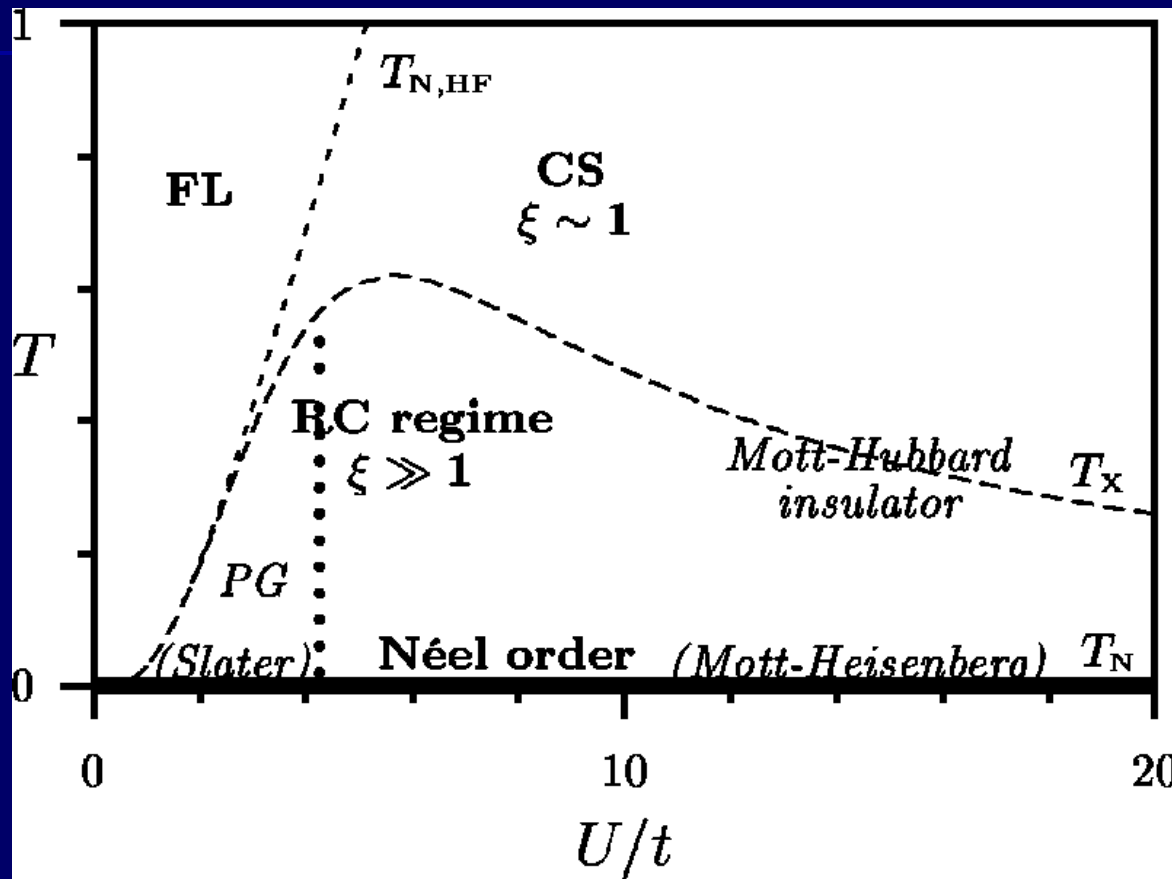
Note: all are renormalized classical (RC) regime because  $n_0 \neq 0$

# The scheme of the coupling constant



K. Borejsza, N. Dupuis, Euro Phys. Lett. 63, 722 (2003).  
K. Borejsza and N. Dupuis Phys. Rev. B 69, 085119 (2004).

# The global phase diagram

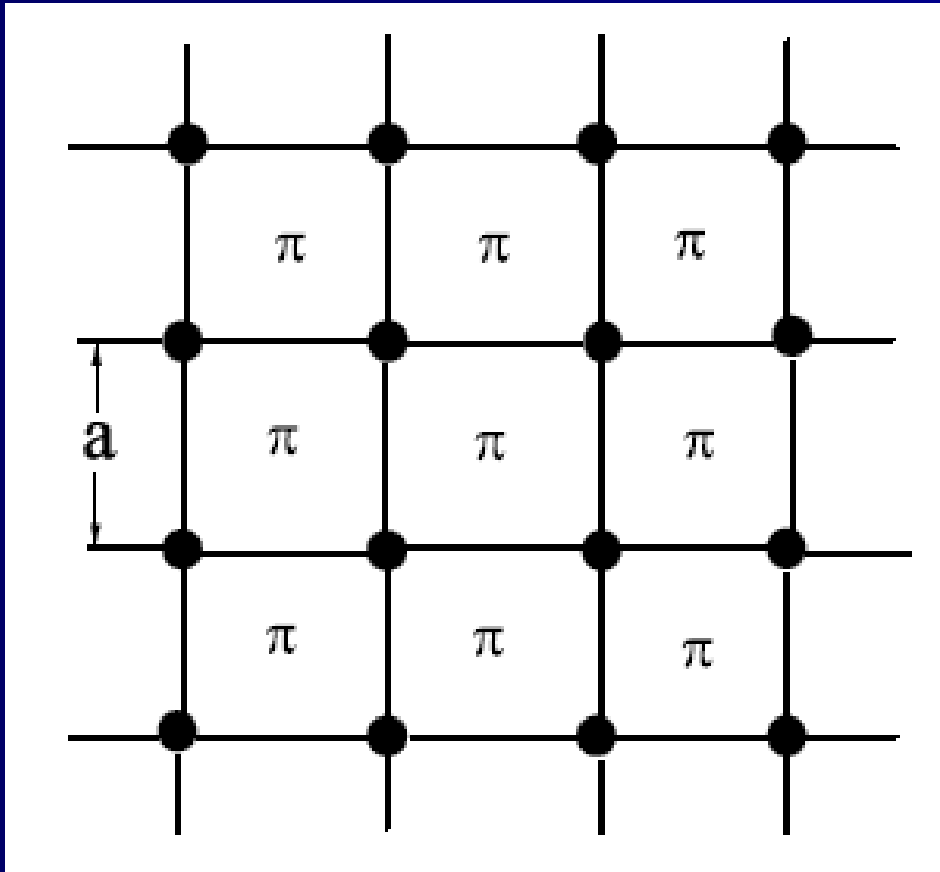


K. Borejsza, N. Dupuis, Euro Phys. Lett. 63, 722 (2003).

K. Borejsza and N. Dupuis Phys. Rev. B 69, 085119 (2004).

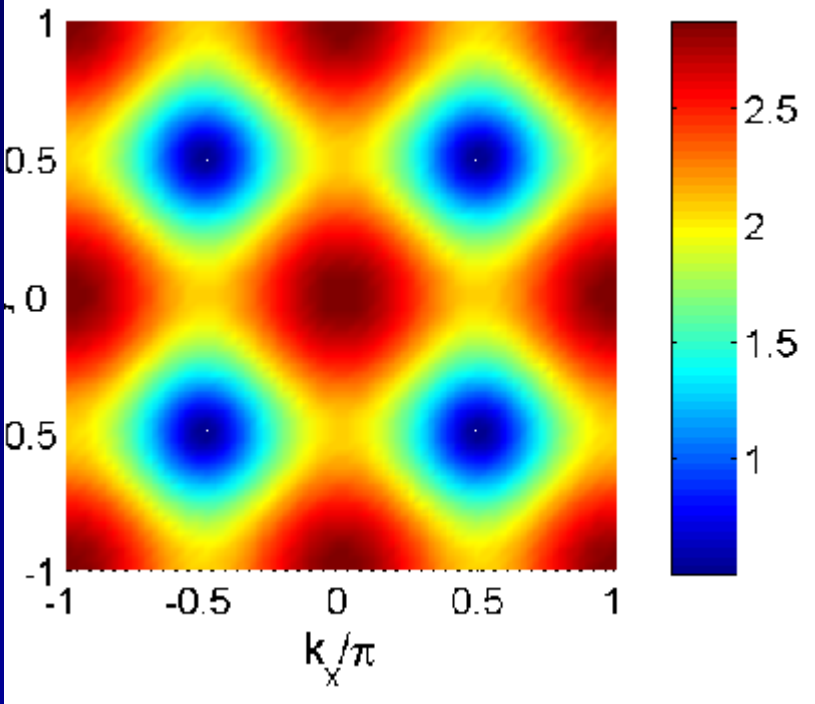
## 2. Nodal spin liquid in $\pi$ -flux Hubbard model on square lattice

$$\mathcal{H} = - \sum_{\langle i,j \rangle, \sigma} t_{ij} \hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma} + U \sum_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow} - \mu \sum_{i,\sigma} \hat{c}_{i,\sigma}^\dagger \hat{c}_{i,\sigma} + h.c.$$



$$t_{i,i+e_x} = t, \quad t_{i,i+e_y} = \pm it$$

# Dispersion of free fermion in $\pi$ -flux phase on a square lattice



$$E_k = 2t\sqrt{\cos^2 k_x + \cos^2 k_y}$$

No nesting

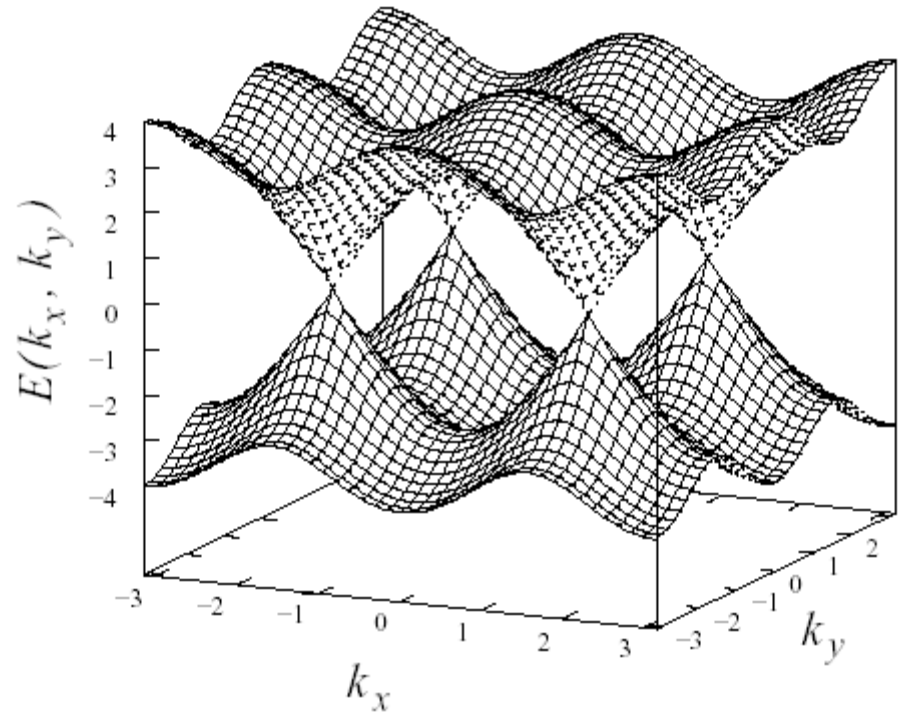
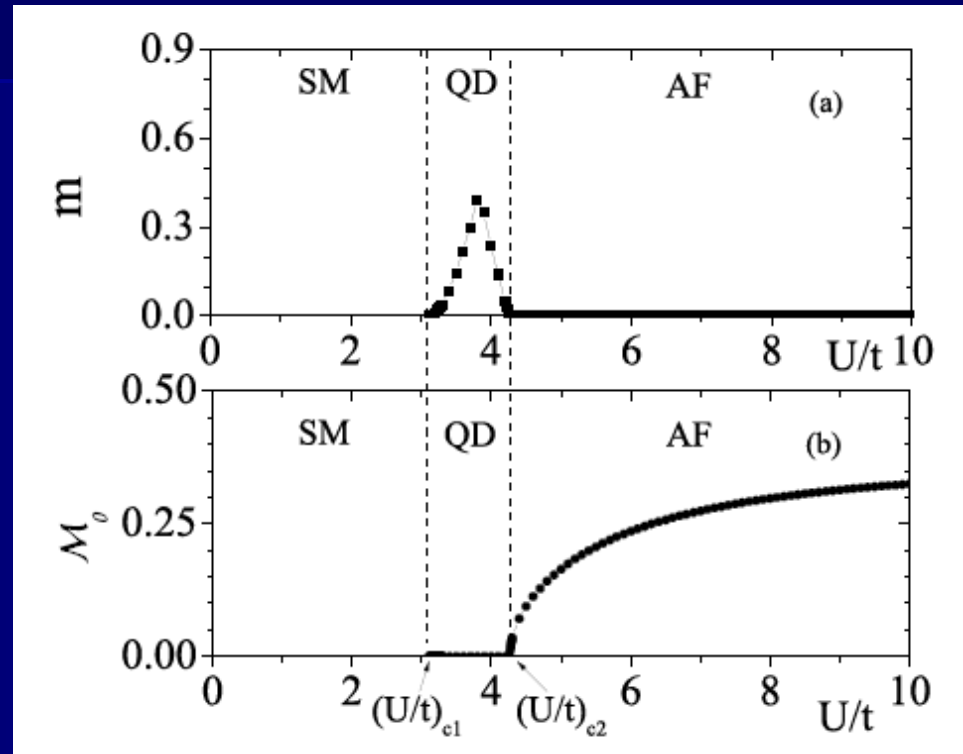


FIG. 19 The energy dispersion of the  $\pi$  flux phase. Note the massless Dirac spectrum at the nodal points at  $(\pm\frac{\pi}{2}, \pm\frac{\pi}{2})$ .

# Results of the $\pi$ -flux Hubbard model on square lattice

The fraction condensed spin moments:

Sun GY and Kou SP, EPL, 87 67002 (2009).



Note:  $0 < U/t < 3.11$ , metal

$3.11 < U/t < 4.26$ , quantum disordered (QD),

$U/t > 4.26$ , renormalized classical (RC)

$$n_0 = 0$$

$$n_0 \neq 0$$

# *Question?*

**What is the nature of the quantum non-magnetic states?**

$$\mathcal{L}_{\mathbf{n}} = \frac{\Lambda}{2g} [(\partial_{\mu}\mathbf{n})^2 + m^2\mathbf{n}^2]$$

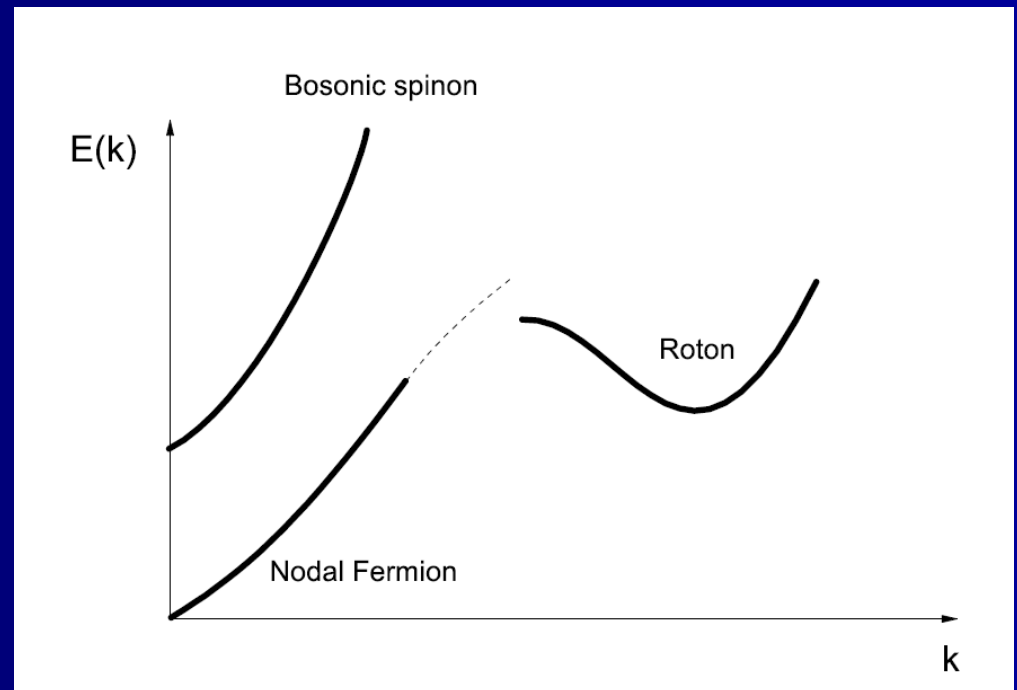
$$\mathcal{L}_{\mathbf{n}} = \frac{2\Lambda}{g} [ |(\partial_{\mu} - ia_{\mu})\mathbf{z}|^2 + m_z^2\mathbf{z}^2 ]$$

# Nodal spin liquid

$$\mathcal{L}_{\text{eff}} = i \sum_a \bar{\Psi}_a \gamma_\mu \partial_\mu \Psi_a + \frac{1}{2g} [(\partial_\mu \mathbf{z})^2 + m_z^2 \mathbf{z}^2] - \frac{1}{4e_a^2} (\partial_\nu a_\mu)^2 + \frac{\sqrt{p^2}}{\pi^2} a_\mu^2.$$

There are three types of quasi-particles : *gapless fermionic spinons, gapped bosonic spinons and the roton-like gauge field.*

**Nodal spin liquid is not algebra spin liquid.**

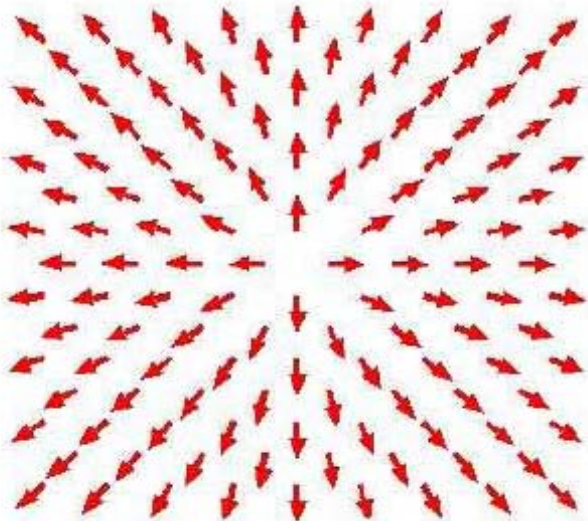




# Gapless spin-vortex as quasi-particle

- With trapping a  $\frac{1}{2}$  staggered spin moment,
- a  $\pi$  spin vortex becomes a fermion!
- The quantum disordered state becomes a spin liquid state with emergent (deconfined) fermionic excitations.

$$S_{(\pi,\pi)}^z = \pm \frac{1}{2}$$

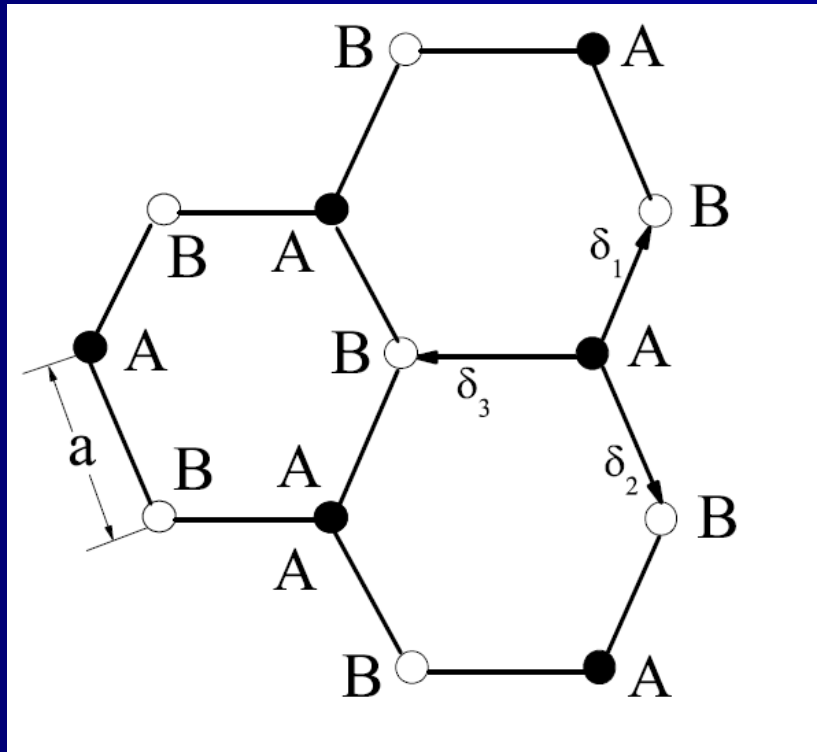


S.P. Kou, PHYS. REV. B  
78, 233104 (2008).

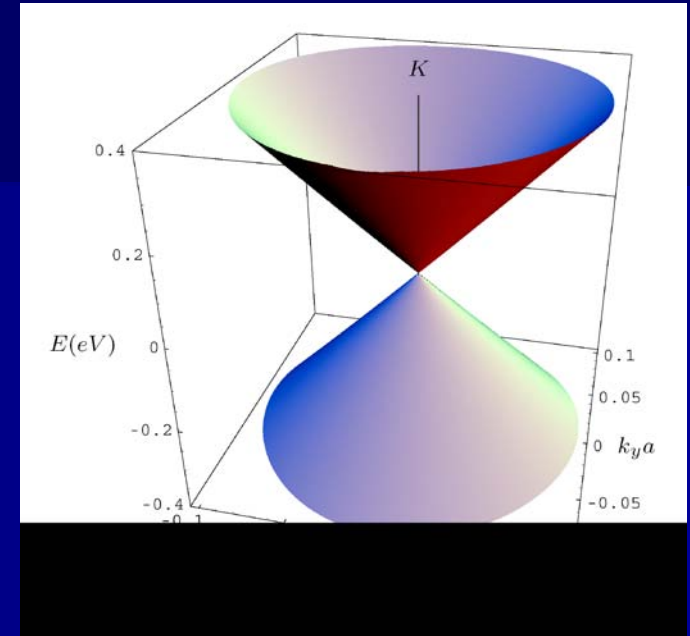
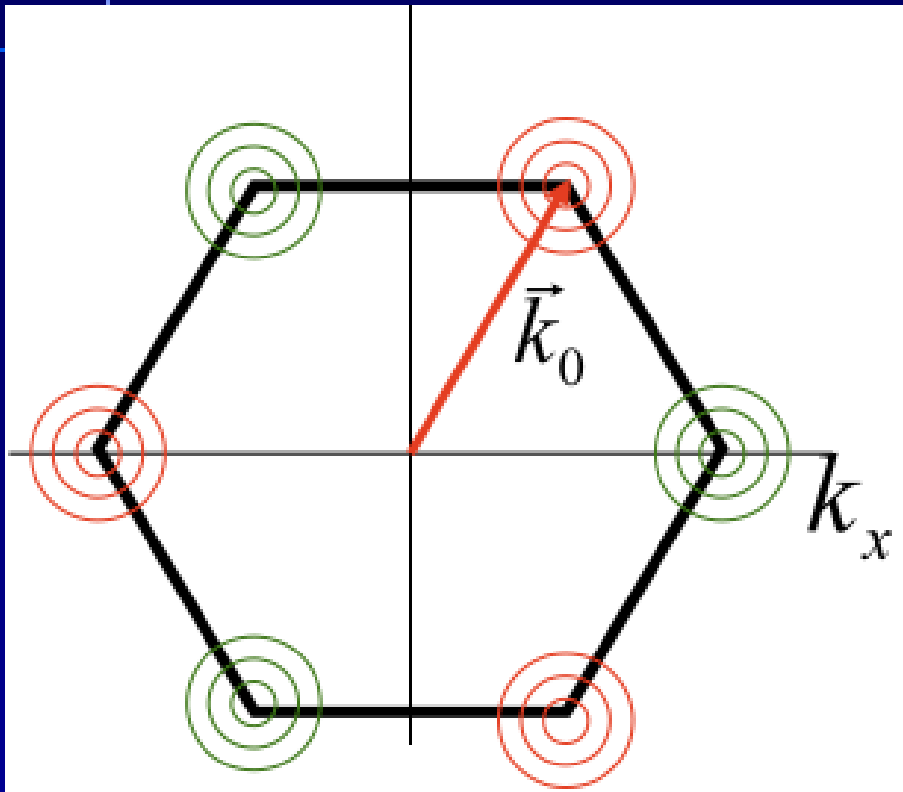
# 3. Spin liquid in the Hubbard model on honeycomb lattice

$$\mathcal{H} = - \sum_{\langle i,j \rangle, \sigma} t_{ij} \hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma} + U \sum_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow} - \mu \sum_{i,\sigma} \hat{c}_{i,\sigma}^\dagger \hat{c}_{i,\sigma} + h.c.$$

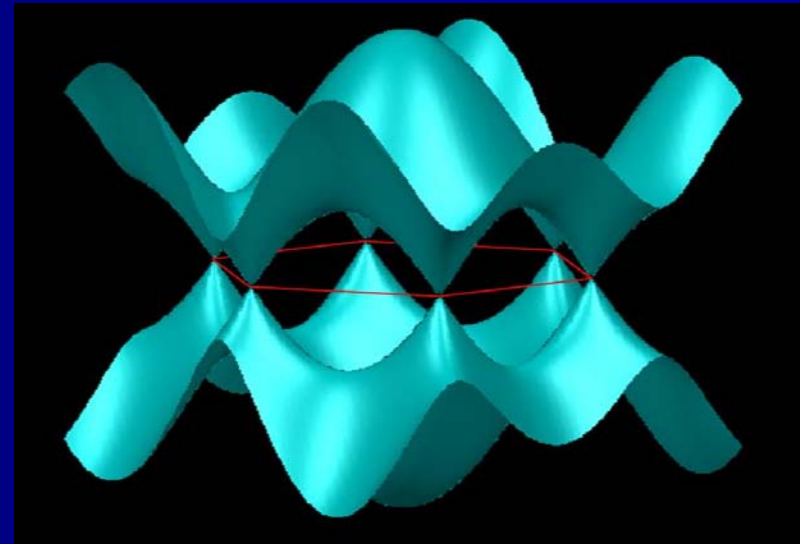
$$t_{i,j} = t$$



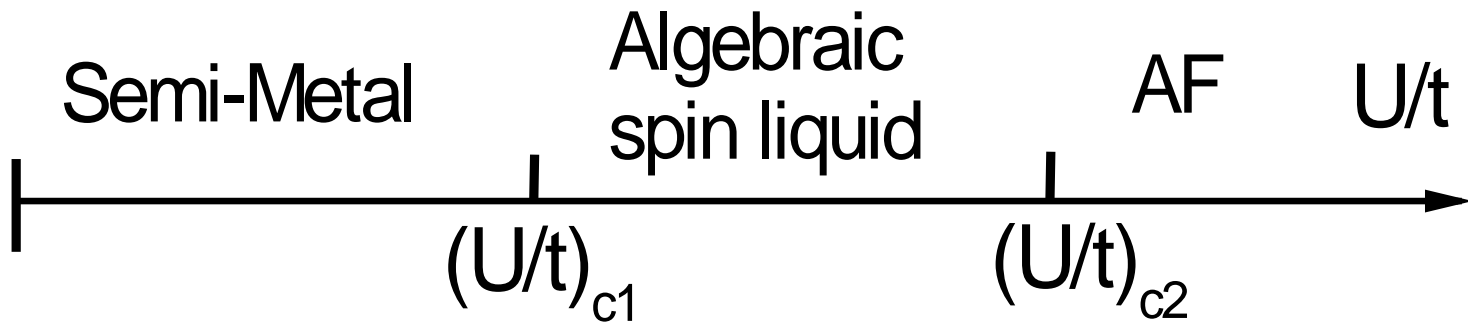
# Dispersion of free fermion on a honeycomb lattice



$$|\xi_{\mathbf{k}}| = \left| -t \sum_{\delta} e^{i\mathbf{k}\cdot\delta} \right|$$
$$= t \sqrt{3 + 2 \cos(\sqrt{3}k_y) + 4 \cos(3k_x/2) \cos(\sqrt{3}k_y/2)}$$



# Algebraic spin liquid by slave-rotor theory



Slave-rotor approach

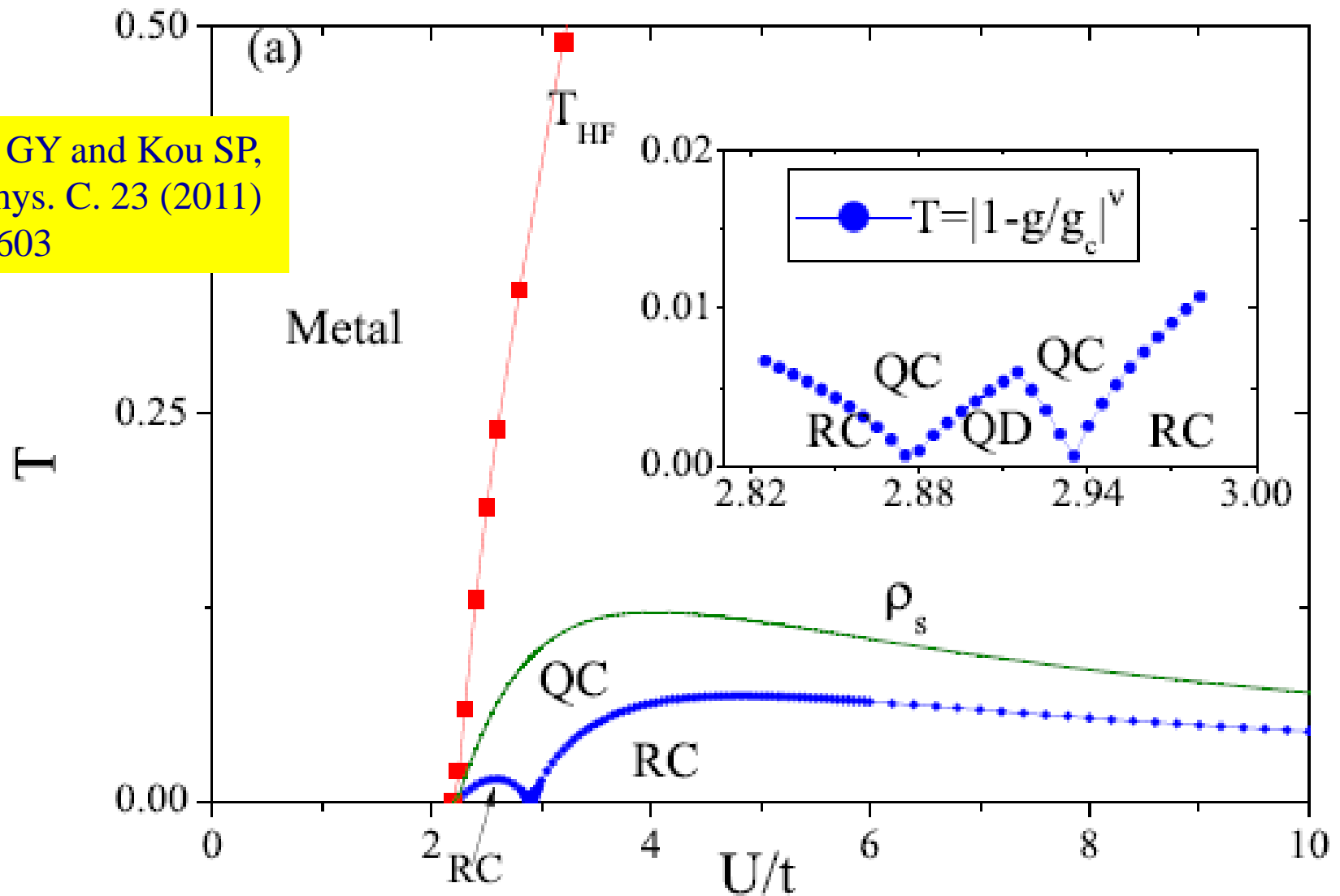
$$\mathcal{S}_{\text{ASL}} = \int d^2r d\tau \left[ \bar{\Psi} \gamma^\mu (\partial_\mu - iA_\mu) \Psi \right]$$

$$\hat{c}_{j\sigma} = e^{i\theta_j} \hat{f}_{j\sigma}$$

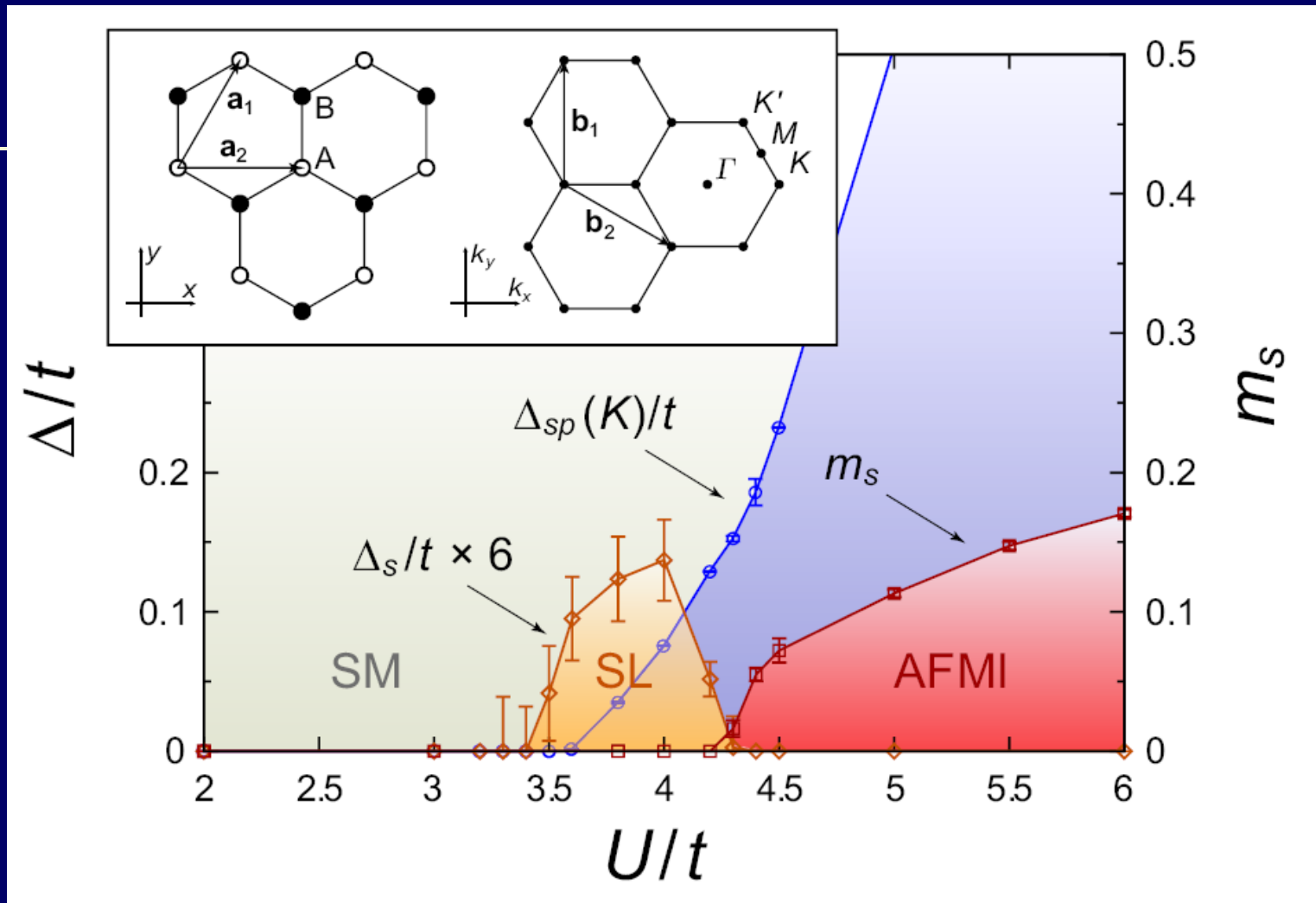
M. Hermele, *Phys. Rev. B* **76**, 035125 (2007).

# Global Phase diagram by spin-fluctuation theory

Sun GY and Kou SP,  
J. Phys. C. 23 (2011)  
045603



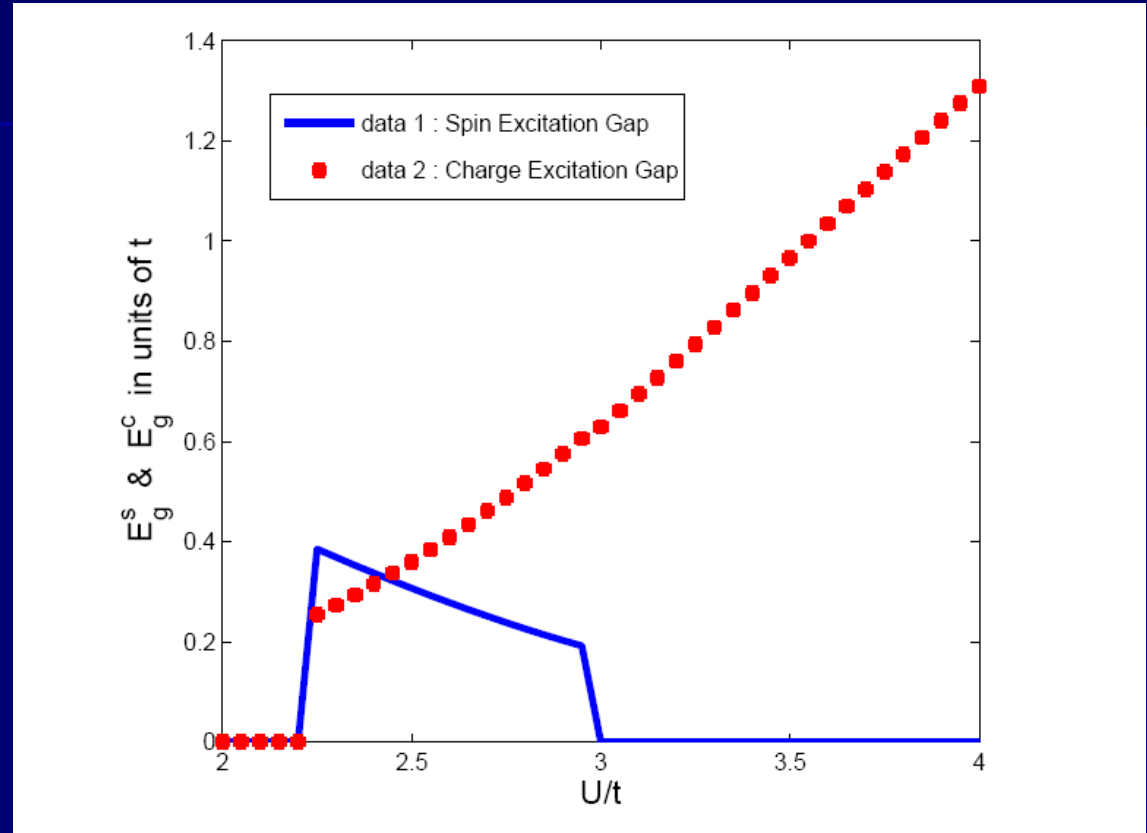
# Quantum spin liquid from QMC



Z. Y. Meng, T. C. Lang, S. Wessel, F. F. Assaad & A. Muramatsu  
Nature 464, 847 (2010)

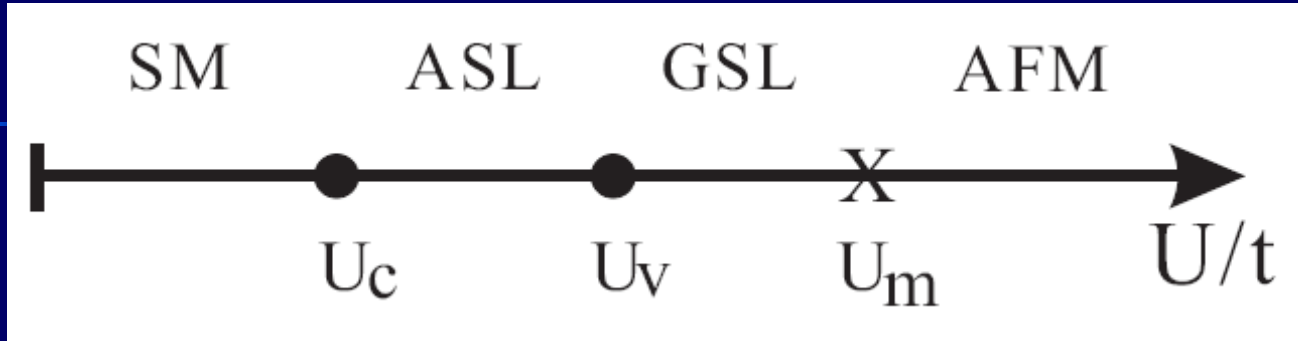
# Slave-particle approach

A. Vaezi and Xiao-Gang  
Wen, arXiv:1010.5744



electron creation operator as:  $C_{i,\sigma}^\dagger = f_{i,\sigma}^\dagger h_i + \sigma d_i^\dagger f_{i,-\sigma} =$   
 $\begin{bmatrix} h_i & d_i^\dagger \end{bmatrix} \begin{bmatrix} f_{i,\sigma}^\dagger \\ \sigma f_{i,-\sigma} \end{bmatrix}.$

# The SU(2) slave-rotor theory



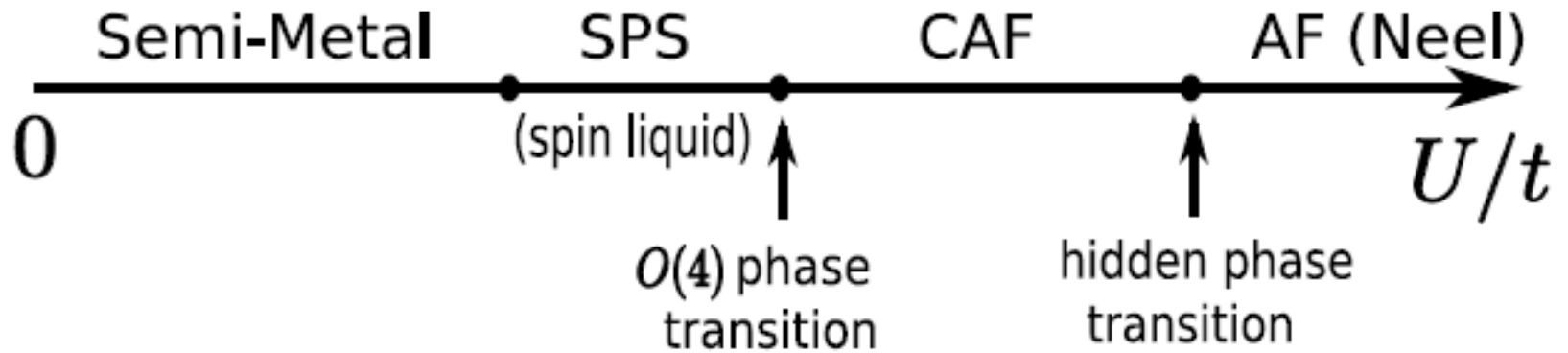
$$\psi_i = Z_i^\dagger F_i, \quad (3)$$

where  $F_i = \begin{pmatrix} f_{i\uparrow} \\ f_{i\downarrow}^\dagger \end{pmatrix}$  is a fermion operator in the Nambu representation, and  $Z_i$  is an SU(2) matrix

$$Z_i = \begin{pmatrix} z_{i\uparrow} & -z_{i\downarrow}^\dagger \\ z_{i\downarrow} & z_{i\uparrow}^\dagger \end{pmatrix}. \quad (4)$$

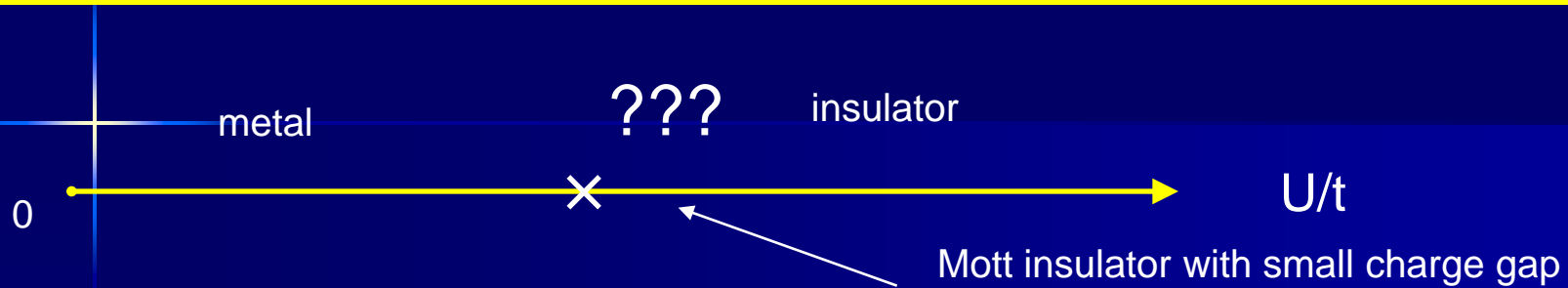


# PSG theory



Yuan-Ming Lu and Ying Ran, PhysRevB. 84. 024420 (2011)

# Mechanism of spin liquid near Mott transition – Intrinsic frustrated spin model



$$\hat{H}_{\text{Hubbard}} = -t \sum_{ij} c_{i\alpha}^\dagger c_{j\alpha} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Insulator --> effective spin model

$$H_{\text{spin}} = \sum_{\langle ij \rangle} \left( \frac{4t^2}{U} - \frac{16t^4}{U^3} \right) \mathbf{S}_i \cdot \mathbf{S}_j + \sum_{\langle\langle ij \rangle\rangle} \frac{4t^4}{U^3} \mathbf{S}_i \cdot \mathbf{S}_j + \dots$$

# Mechanism of spin liquid near Mott transition – strongly fluctuated spin-density-wave

The spin liquid states near the MIT come from strongly quantum spin fluctuations of a relatively small effective spin-moments.

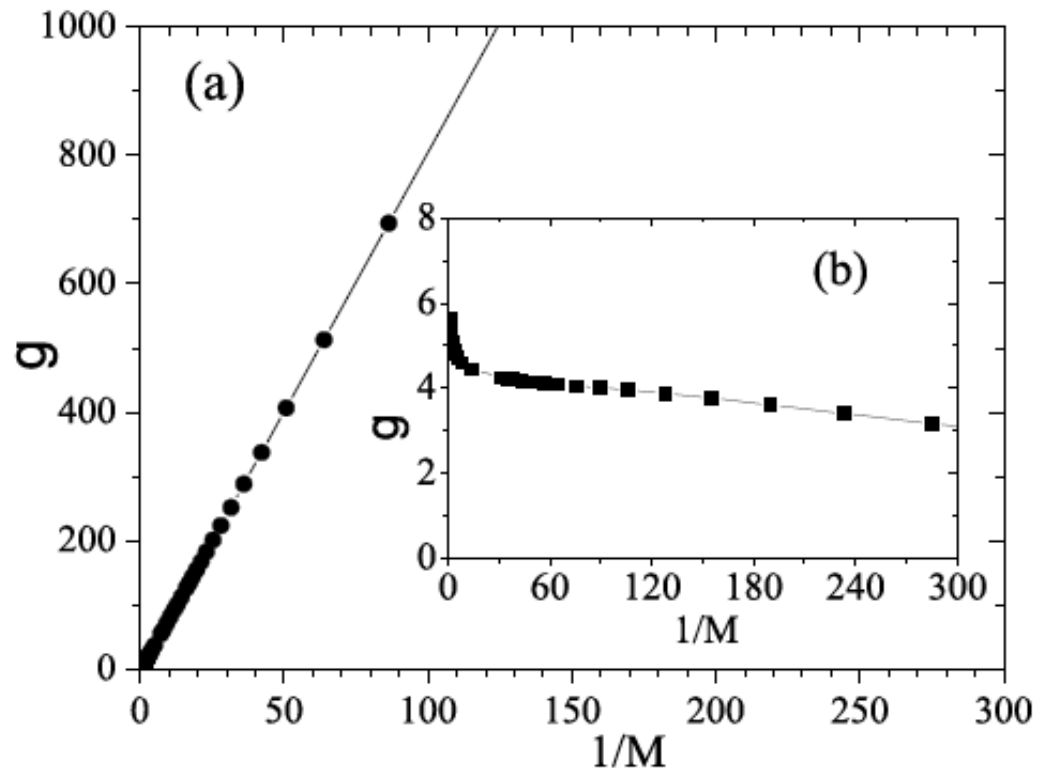


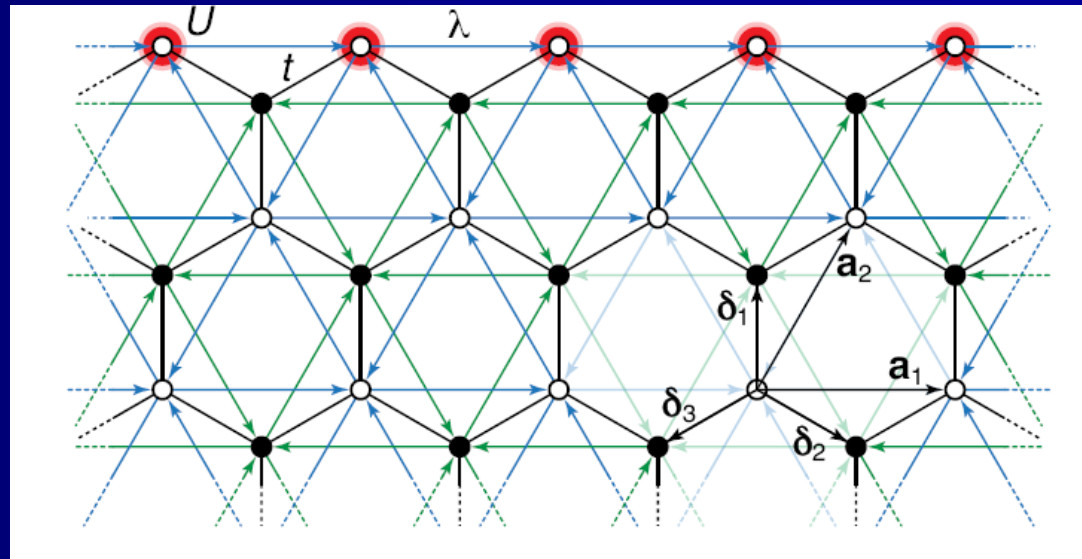
FIG. 6: Illustrations of the relations between the coupling constant  $g$  and the staggered magnetization  $M$  of the  $\pi$ -flux Hubbard model (circle solid line) and the traditional Hubbard model (square solid line in inset).

# 5. **Z2 Spin liquid** in interacting topological insulator

## – Interacting Kane-Mele model

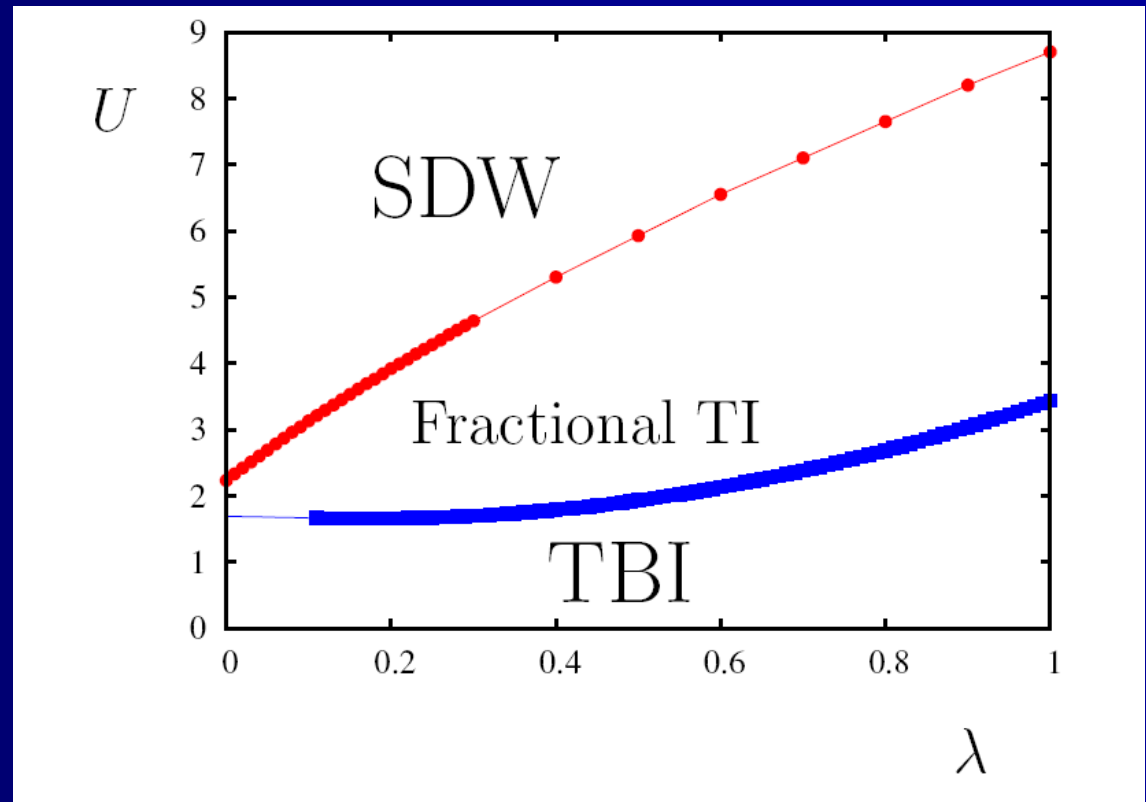
$$\mathcal{H} = -t \sum_{\langle ij \rangle} \sum_{\sigma} c_{i\sigma}^{\dagger} c_{j\sigma} + i\lambda \sum_{\langle\langle ij \rangle\rangle} \sum_{\sigma\sigma'} \nu_{ij} \sigma_{\sigma\sigma'}^z c_{i\sigma}^{\dagger} c_{j\sigma'}$$

$$\mathcal{H}_I = \frac{U}{2} \sum_i \left( \sum_{\sigma} n_{i\sigma} - 1 \right)^2$$

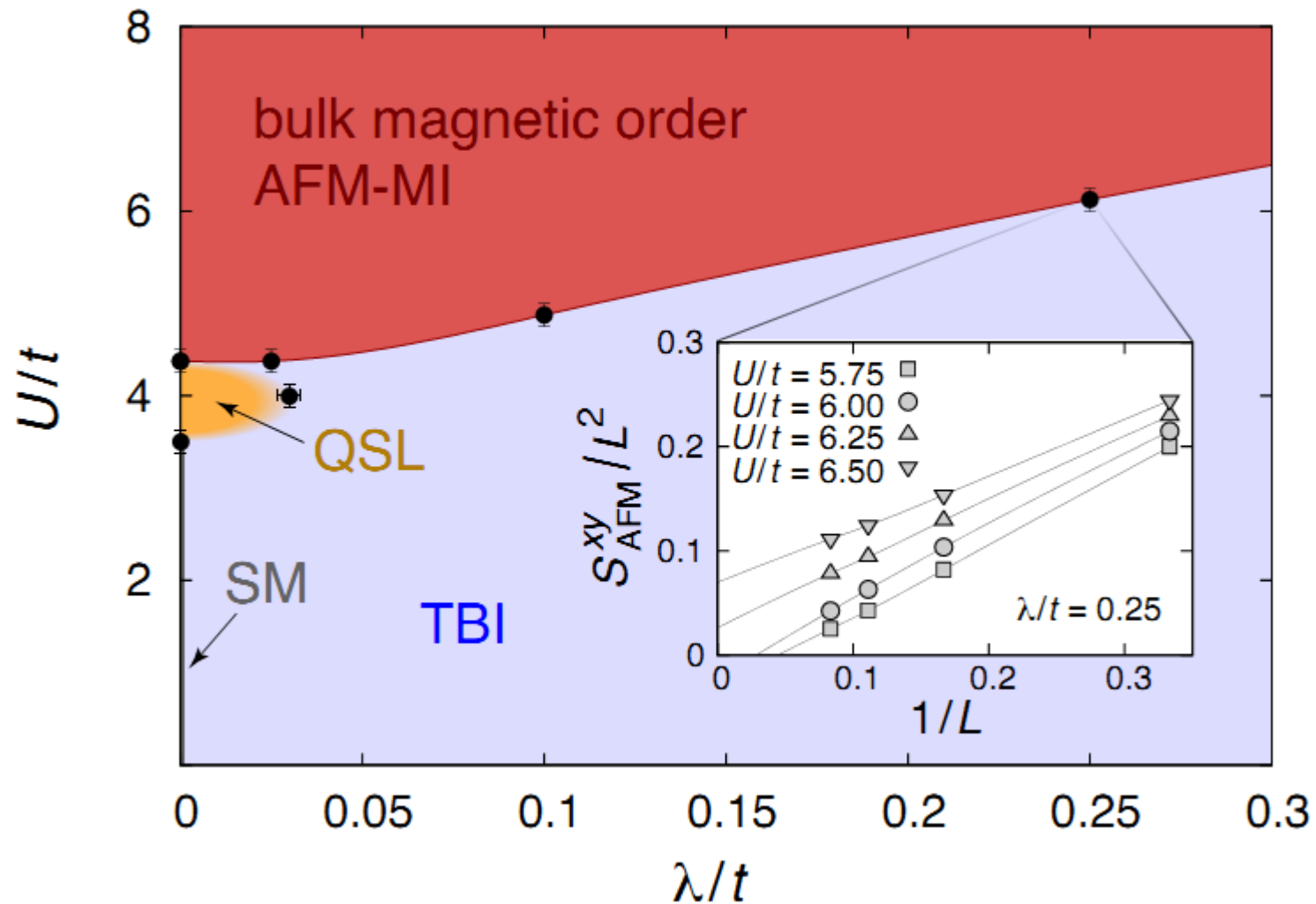


# Fractional topological insulator – quantum spin liquid from slave-rotor theory

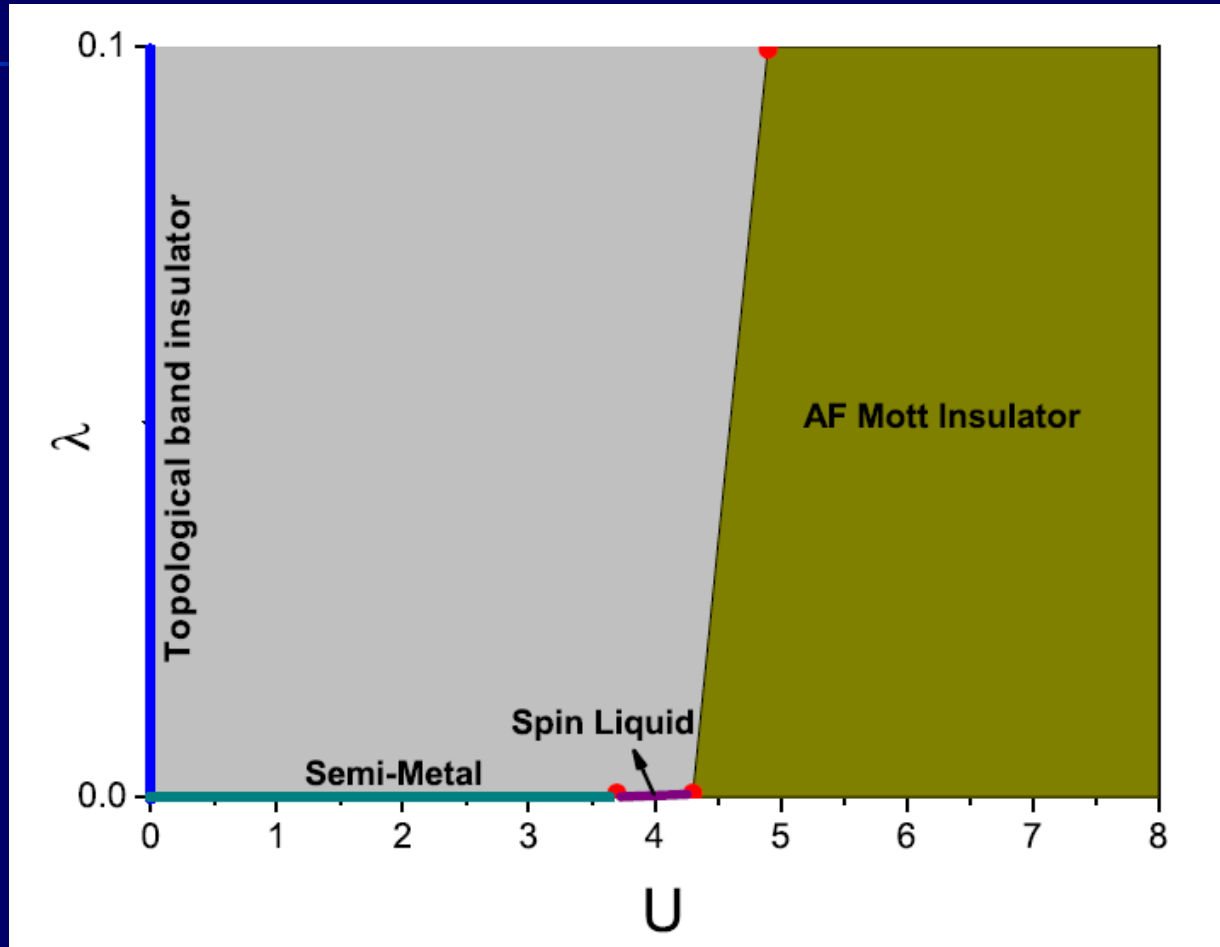
Stephan Rachel and Karyn Le  
Hury, PhysRevB.82. 075106  
(2010)



# Results from QMC

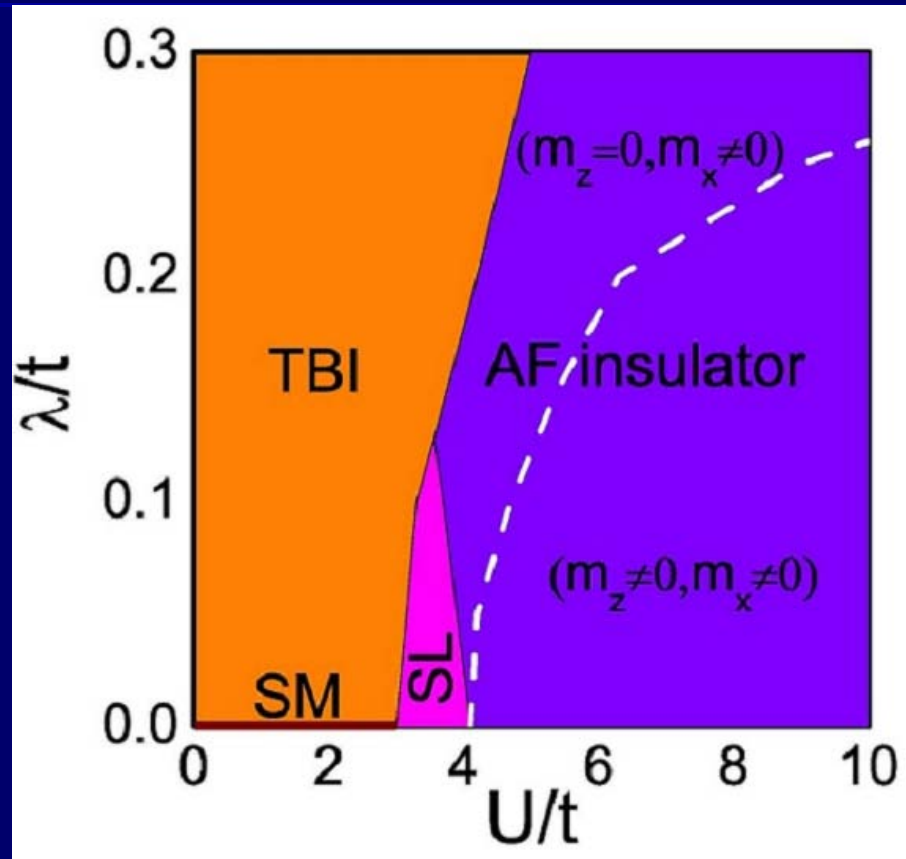


# Results from QMC



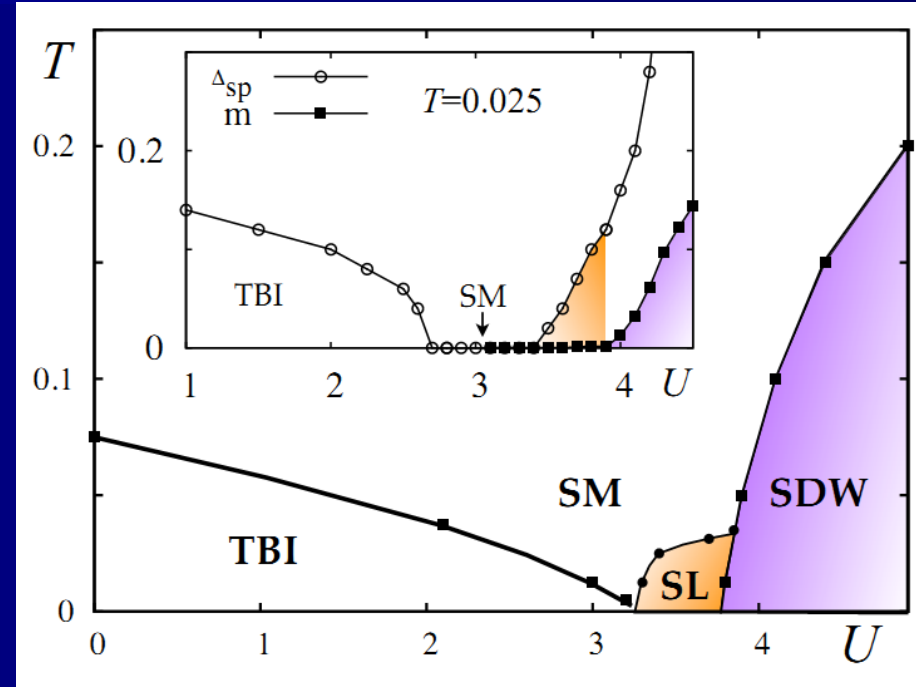
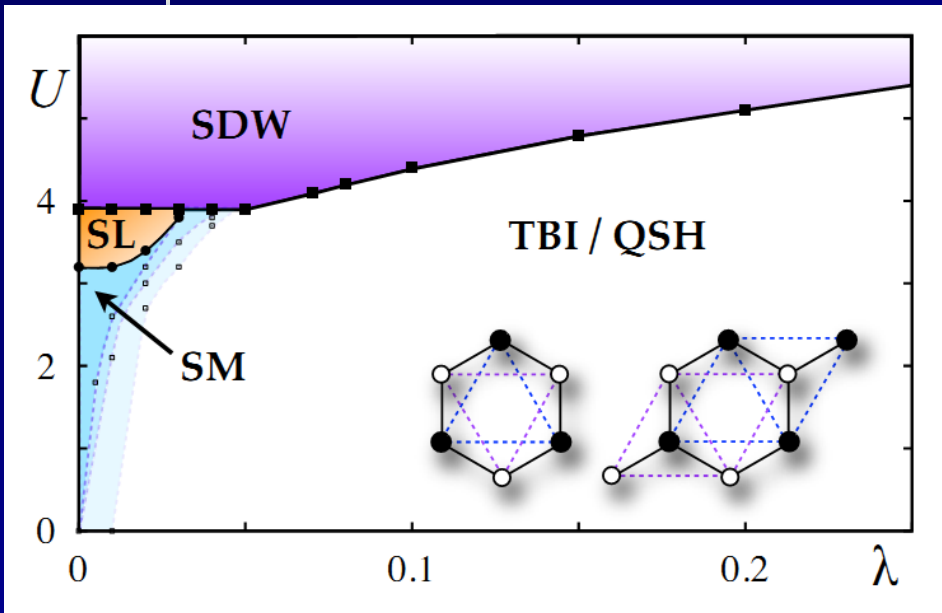
Dong Zheng, Congjun Wu and Guang-Ming Zhang, arXiv:1011.5858

# Variational cluster approach (VCA) approach





# CDMFT + QMC



Wei Wu, S. Rachel, Wu-Ming Liu, K. Le Hur, [arXiv:1106.0943](https://arxiv.org/abs/1106.0943)

# Z2 topological spin liquid

## – anisotropic case $\kappa > 0$

$$\mathcal{L}_{\text{eff}} = i \sum_a \bar{\Psi}_a \left( \gamma_\mu \partial_\mu + i \frac{\sigma^z}{2} \gamma_\mu A_\mu + m_{hs} \right) \Psi_a + \quad (37)$$

$$+ \frac{1}{2g} \left[ |(\partial_\mu - i a_\mu) \mathbf{z}|^2 + m_z^2 \mathbf{z}^2 \right] + \frac{i}{\pi} \epsilon^{\mu\nu\lambda} A_\mu \partial_\nu a_\lambda.$$

There are three types of quasi-particles : *gapped fermionic spinons, gapped bosonic spinons and the gapped gauge field.*

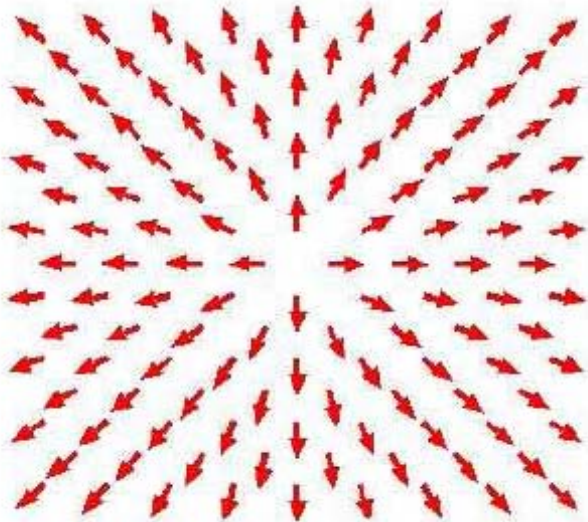
$$\mathcal{L}_{\text{eff}} = -\frac{1}{4e_A^2} (\partial_\nu A_\mu)^2 - \frac{1}{4e_a^2} (\partial_\mu a_\nu)^2 + \frac{i}{\pi} \epsilon^{\mu\nu\lambda} A_\mu \partial_\nu a_\lambda$$

$$m_a = m_A = \frac{e_A e_a}{2\pi}$$

# Gapped spin-vortex as quasi-particle

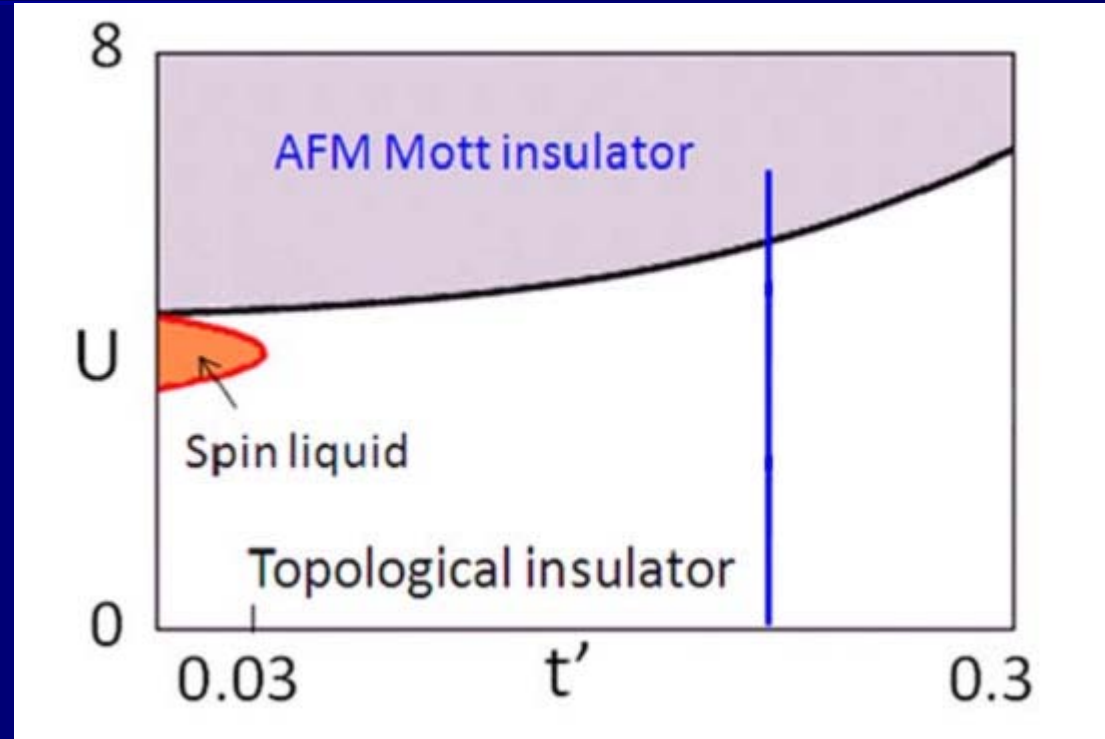
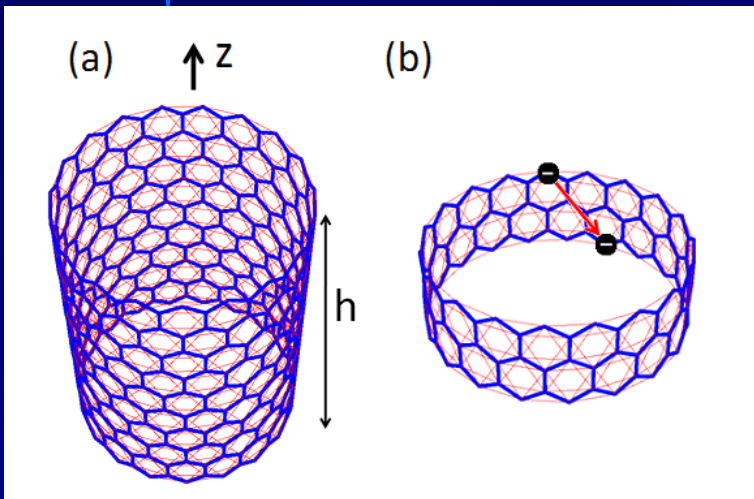
- With trapping a  $\frac{1}{2}$  staggered spin moment,
- a  $\pi$  spin vortex becomes a fermion!
- The quantum disordered state becomes a spin liquid state with emergent (deconfined) fermionic excitations.

$$S_{(\pi,\pi)}^z = \pm \frac{1}{2}$$



S.P. Kou, PHYS. REV. B  
78, 233104 (2008).

# Quantum phase transition of edge states



KT transition of the edge state

# 6. Chiral spin liquid in correlated topological insulator

## - interacting Spinful Haldane model

$$H = H_H + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} - \mu \sum_{i,\sigma} \hat{c}_{i\sigma}^\dagger \hat{c}_{i\sigma}$$

$$H_H = -t \sum_{\langle i,j \rangle, \sigma} \left( \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + h.c. \right) - t' \sum_{\langle\langle i,j \rangle\rangle, \sigma} e^{i\varphi_{ij}} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma}$$

He J, Kou SP, Liang Y, Feng SP, PHYS.  
REV. B 83, 205116 (2011).

# Slave-rotor approach

$$\hat{c}_{j\sigma} = e^{i\theta_j} \hat{f}_{j\sigma}$$

$$X_i = e^{i\theta_i}$$

$$\begin{aligned} H_{eff} = & -t \sum_{\langle i,j \rangle, \sigma} (\hat{f}_{i\sigma}^\dagger \hat{f}_{j\sigma} X_i^\dagger X_j + h.c.) - \mu \sum_{i,\sigma} \hat{f}_{i\sigma}^\dagger \hat{f}_{i\sigma} \quad (2) \\ & - t' \sum_{\langle\langle i,j \rangle\rangle, \sigma} e^{i\varphi_{ij}} \hat{f}_{i\sigma}^\dagger \hat{f}_{j\sigma} X_i^\dagger X_j + \frac{U}{2} \sum_i L_i^2 \\ & + \sum_i h_i \left( \sum_\sigma \hat{f}_{i\sigma}^\dagger \hat{f}_{i\sigma} + L_i - 1 \right) + \sum_i \rho_i (|X_i|^2 - 1). \end{aligned}$$

# Mean field approach

$$Q_X = \frac{1}{3tN_s} \sum_k \frac{Q_f |\xi_{\mathbf{k}}|^2}{E_f}, \quad Q'_X = \frac{1}{3t'N_s} \sum_k \frac{Q'_f (\xi'_{\mathbf{k}})^2}{E_f}, \quad (3)$$

$$Q_f = \frac{1}{N_s} \sum_k \frac{|\xi_{\mathbf{k}}|}{12t} \frac{U}{\sqrt{U}(\rho + \varepsilon_k)}, \quad 1 = \frac{1}{N_s} \sum_k \frac{U}{2\sqrt{U}(\rho + \varepsilon_k)},$$

$$Q'_f = \frac{1}{N_s} \sum_k \frac{g_{\mathbf{k}}}{24} \frac{U}{\sqrt{U}(\rho + \varepsilon_k)},$$

where

$$g_{\mathbf{k}} = 4 \cos(3k_x/2) \cos(\sqrt{3}k_y/2) + 2 \cos(\sqrt{3}k_y) \quad (4)$$

and  $\varepsilon_k = -Q_X |\xi_{\mathbf{k}}| - t' Q'_X g_{\mathbf{k}}$ .  $N_s$  denoting the number of unit cells.

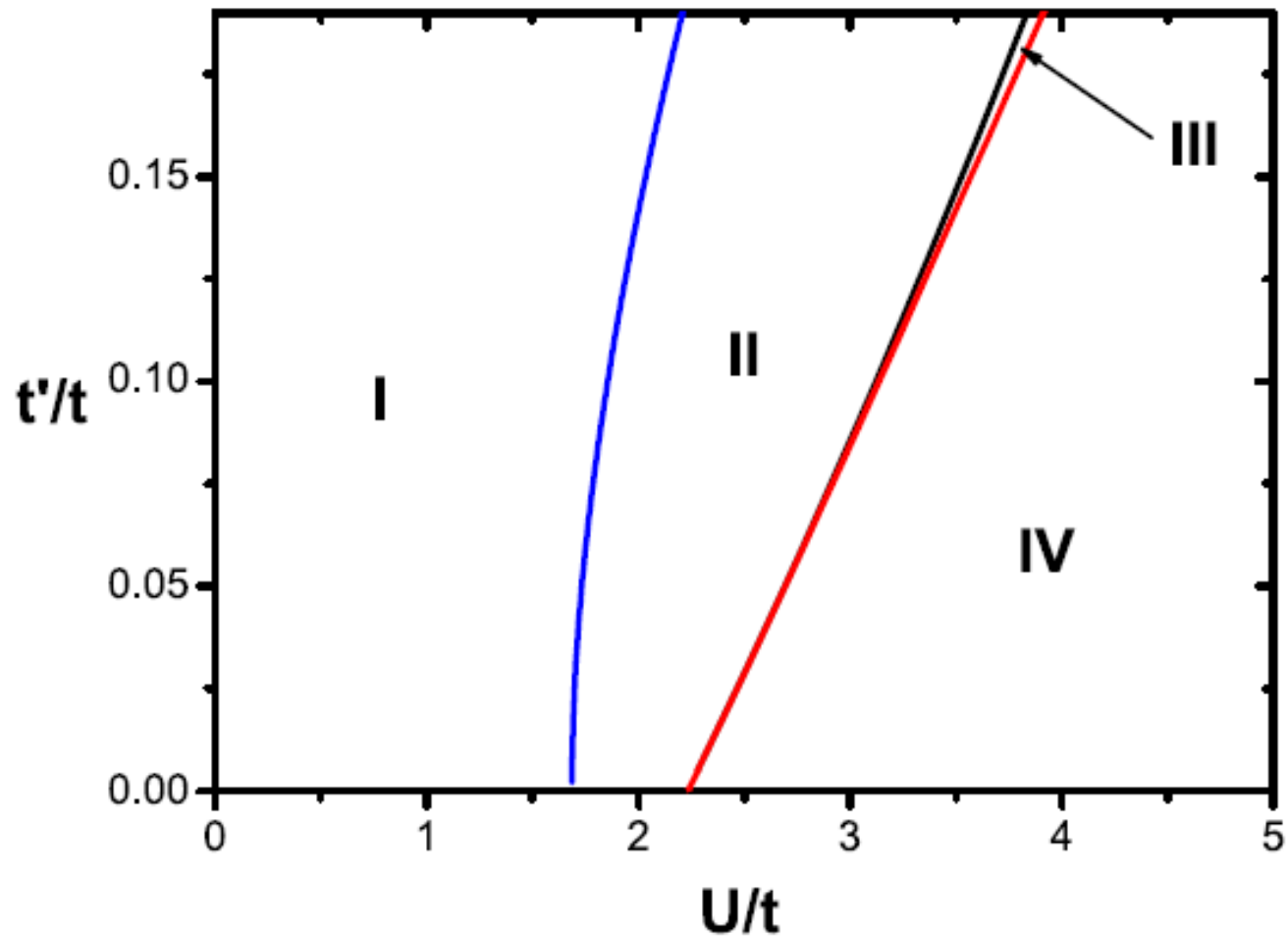


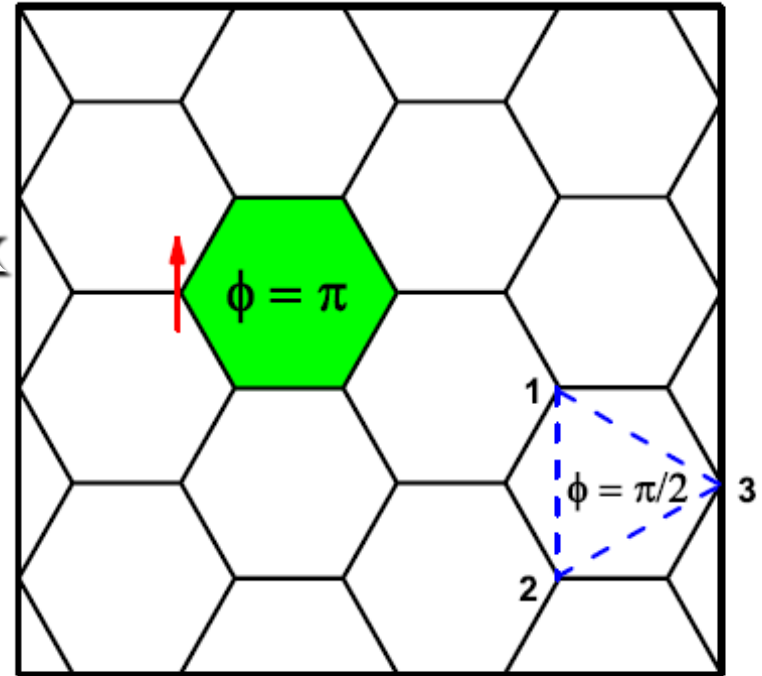
FIG. 1: (color online) Phase diagram at  $T = 0$ . There are four regions: I is TI state, II is the quantum spin liquid, III is an AF order with QAH effect, IV is the trivial AF order. The blue line and black line are  $(\frac{U}{t})_{c1}$  and  $(\frac{U}{t})_{c2}$ , respectively.



# $\pi$ -vortex as anyons

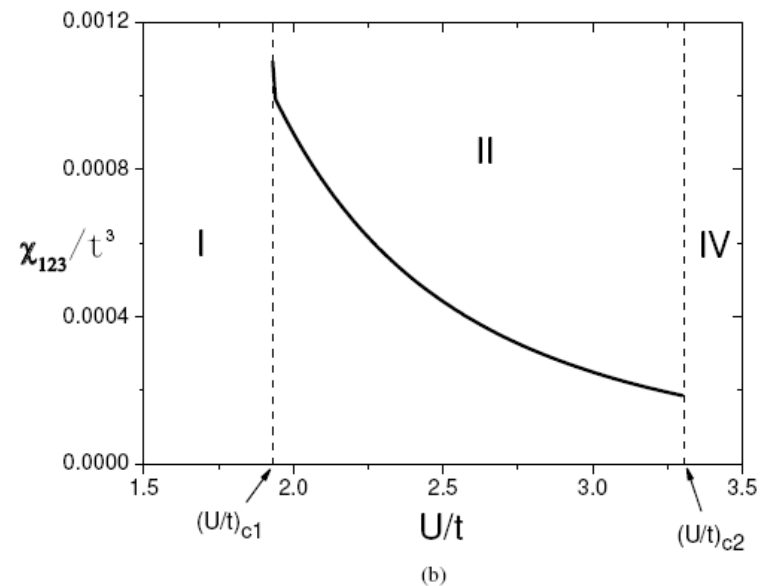
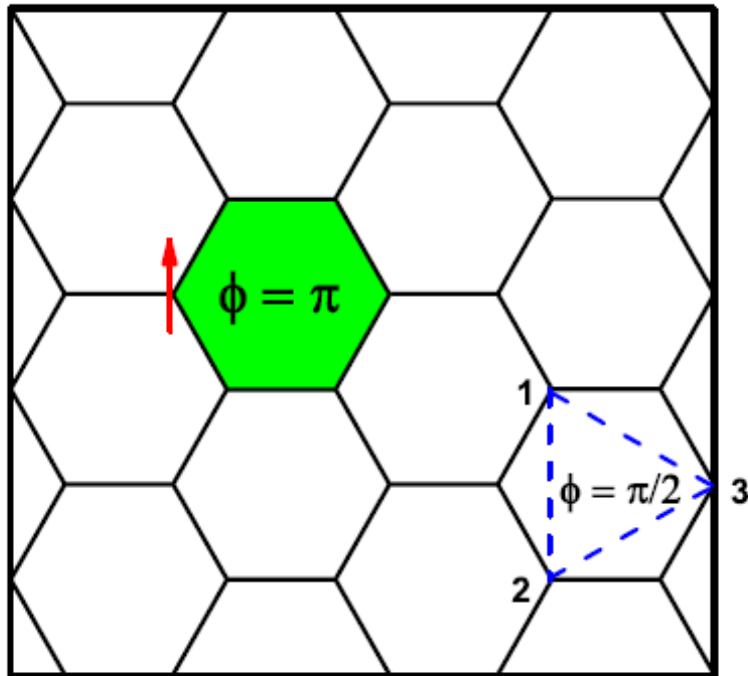
With induced fermion number  $\langle N^f \rangle = 1$ ,  $\pi$ -vortex becomes anyon.

- **Statistics angle  $\theta = \pi/2$**



$$\langle N^f \rangle = -\frac{1}{2} \int_{-\infty}^{\infty} dE \frac{1}{\pi} \text{Im} \text{Tr} \left( \frac{1}{H_f - E - i\epsilon} \right) \text{sign}(E)$$

# Chiral order parameter



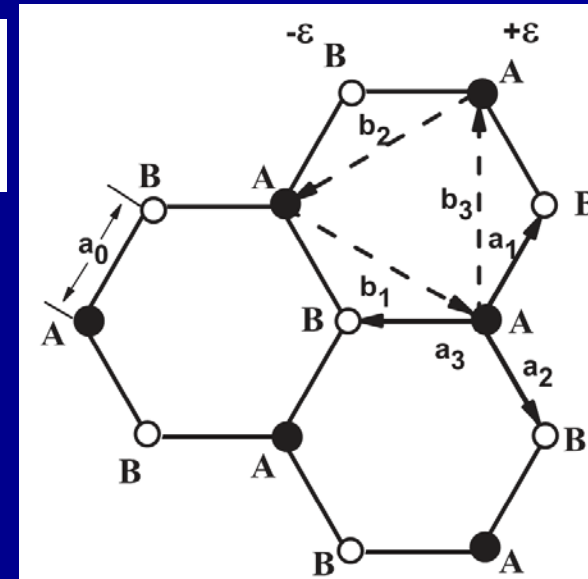
$$\begin{aligned} \chi_{\langle 123 \rangle} &= \langle \mathbf{S}_1 \cdot (\mathbf{S}_2 \times \mathbf{S}_3) \rangle \\ &= \frac{1}{4i} \left\langle \hat{f}_{1\alpha}^\dagger \hat{f}_{2\alpha} \hat{f}_{2\beta}^\dagger \hat{f}_{3\beta} \hat{f}_{3\gamma}^\dagger \hat{f}_{1\gamma} - \hat{f}_{1\alpha}^\dagger \hat{f}_{3\alpha} \hat{f}_{3\beta}^\dagger \hat{f}_{2\beta} \hat{f}_{2\gamma}^\dagger \hat{f}_{1\gamma} \right\rangle \end{aligned} \quad (9)$$

# 7. Topological spin-density-wave in correlated topological insulator - interacting Spinful Haldane model

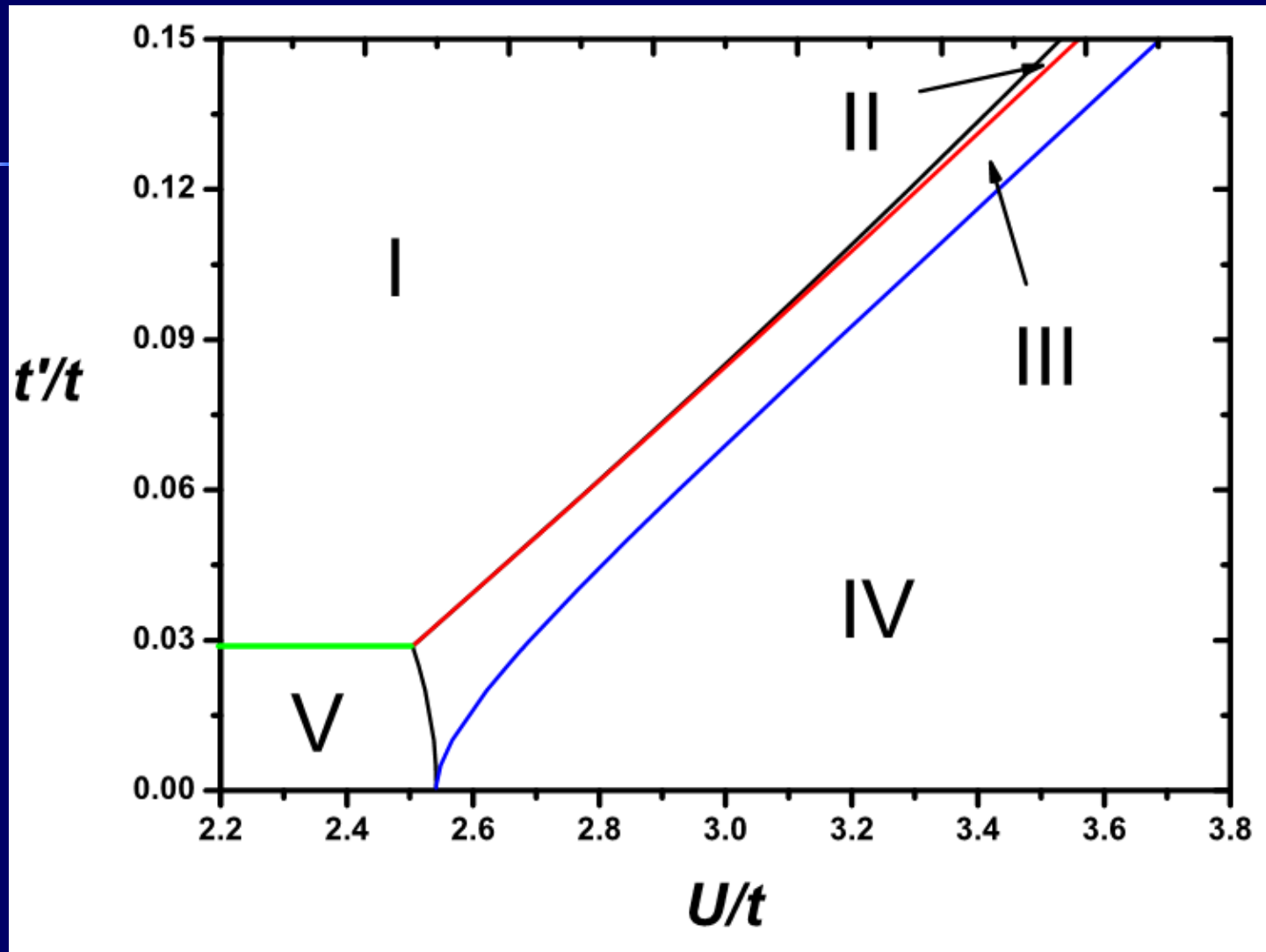
$$H = H_H + H' + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} - \mu \sum_{i,\sigma} \hat{c}_{i\sigma}^\dagger \hat{c}_{i\sigma}$$

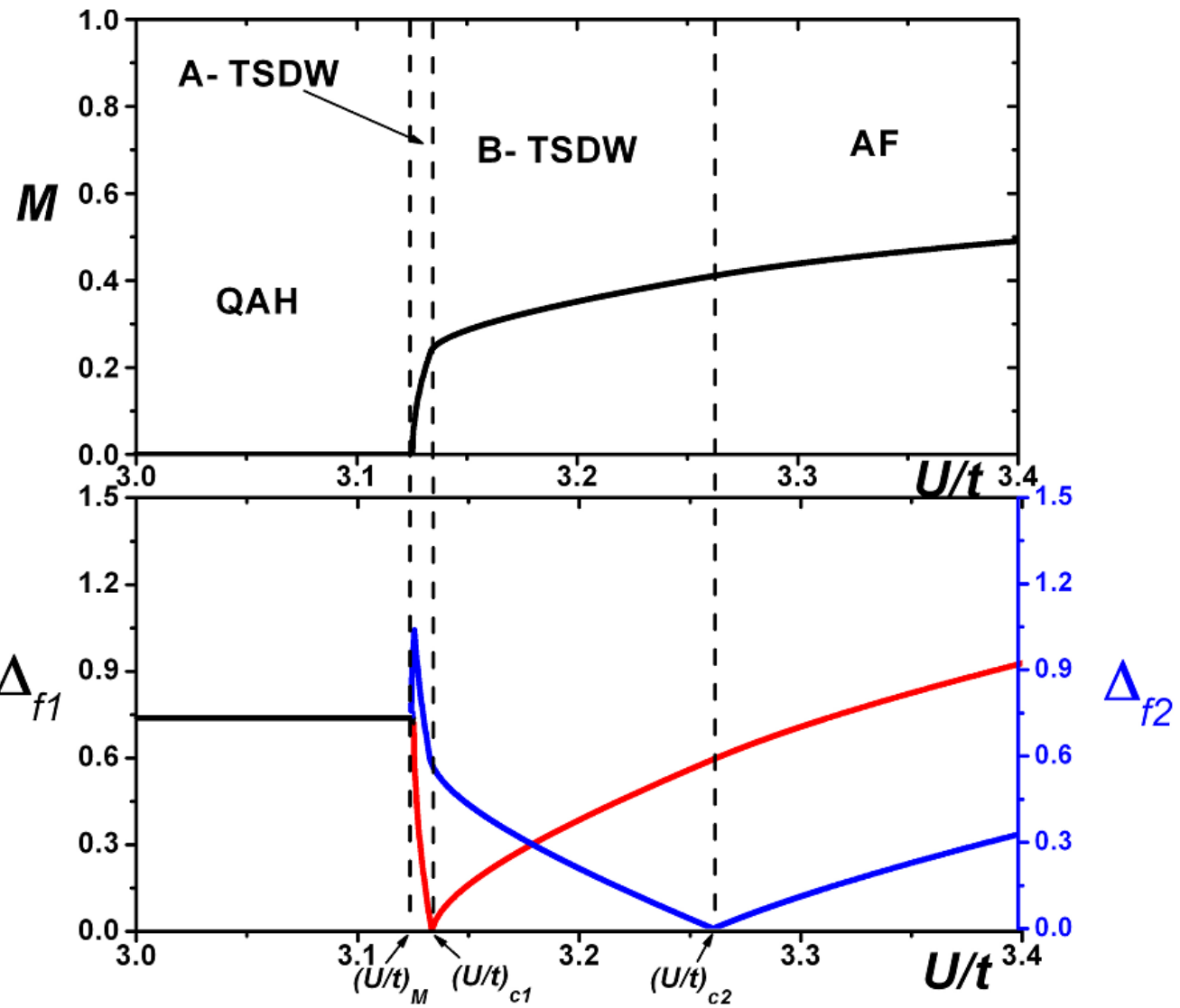
$$H_H = -t \sum_{\langle i,j \rangle, \sigma} (\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \text{H.c.}) - t' \sum_{\langle\langle i,j \rangle\rangle, \sigma} e^{i\phi_{ij}} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma}$$

$$H' = \varepsilon \sum_{i \in A, \sigma} \hat{c}_{i\sigma}^\dagger \hat{c}_{i\sigma} - \varepsilon \sum_{i \in B, \sigma} \hat{c}_{i\sigma}^\dagger \hat{c}_{i\sigma}$$



# Phase diagram





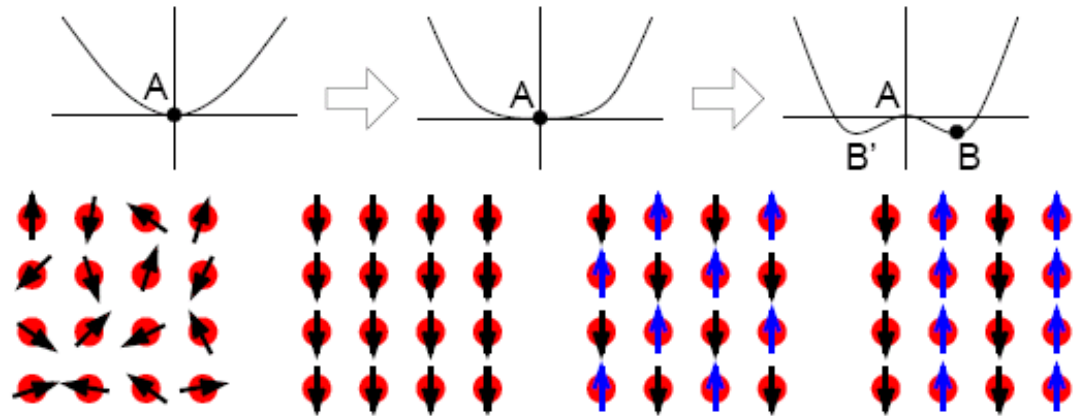
# Landau paradigm

Landau symmetry breaking theory:

Different organizations = different symmetries

Phase transition = symmetry breaking

Landau



How to characterize topological SDW?

- Different spin-density-wave with same order parameter : **topological spin-density wave**

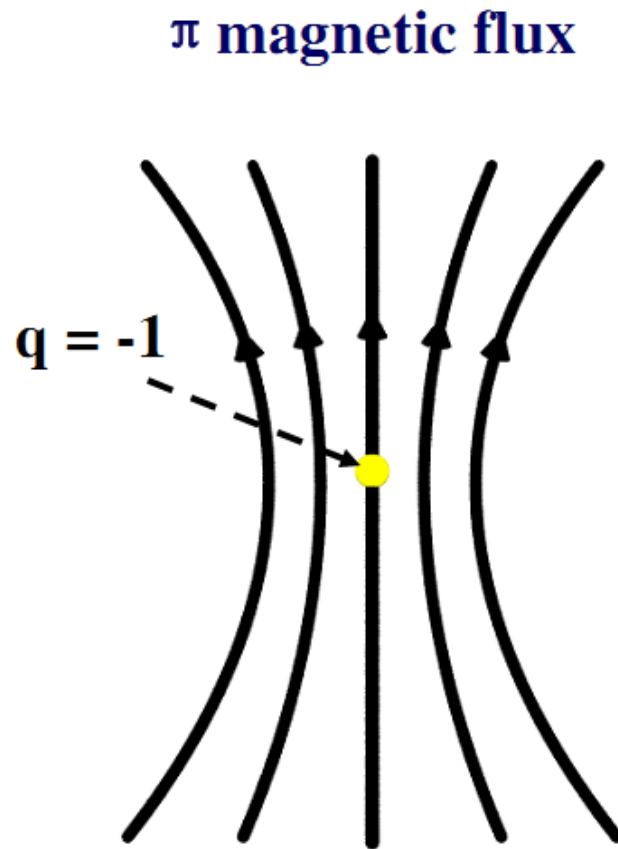
# K-matrix formulation

$$\mathcal{L}_{CSH} = -i \sum_{I,J} \frac{\mathcal{K}_{IJ}}{4\pi} \varepsilon^{\mu\nu\lambda} a_{\mu}^I \partial_{\nu} a_{\lambda}^J$$

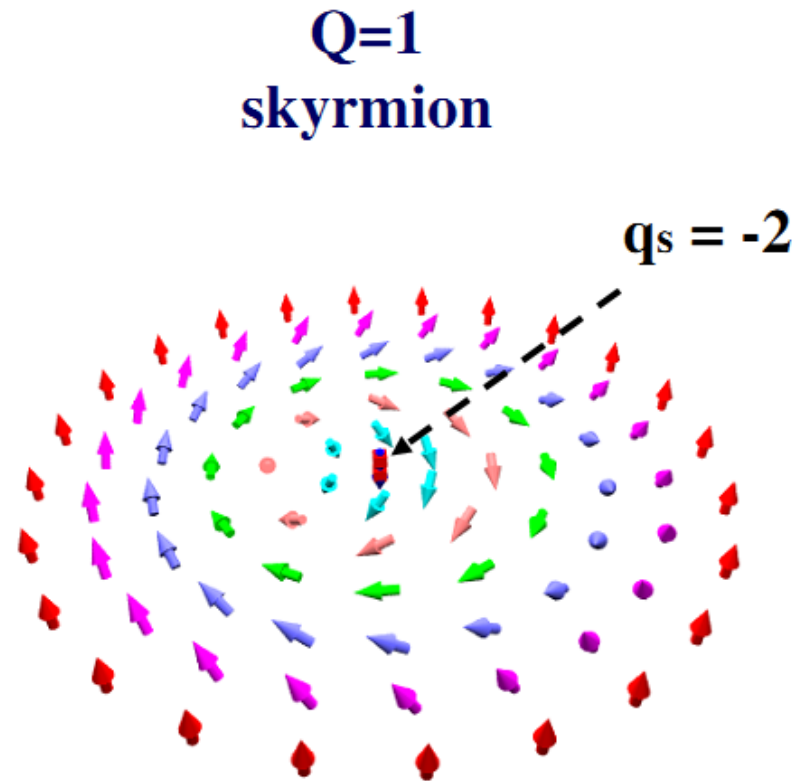
for A-TSDW order with  $m_1, m_2 > \Delta_M$ ,  $\mathcal{K} = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$

for B-TSDW order with  $m_2 > \Delta_M > m_1$ ,  $\mathcal{K} = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$

# Spin-charge separated charge-flux binding effect in A-TSDW



(a)



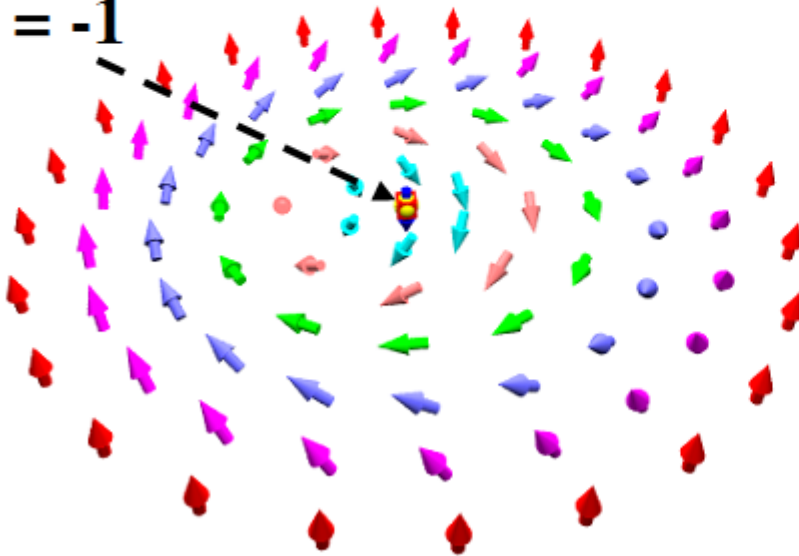
(b)



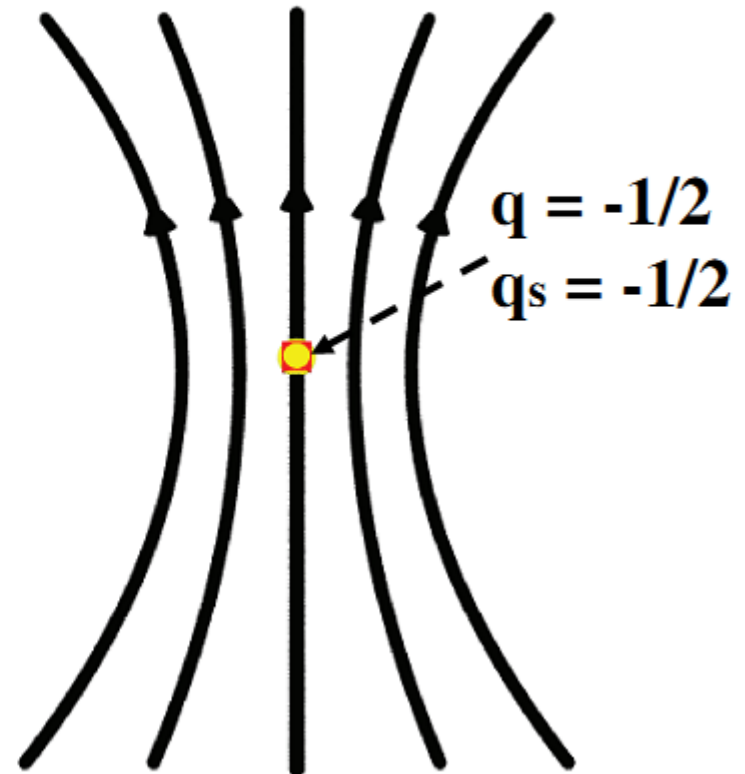
# spin-charge synchronization charge-flux binding effect in B-TSDW

$Q=1$   
skyrmion

$q = -1$   
 $q_s = -1$



$\pi$  magnetic flux



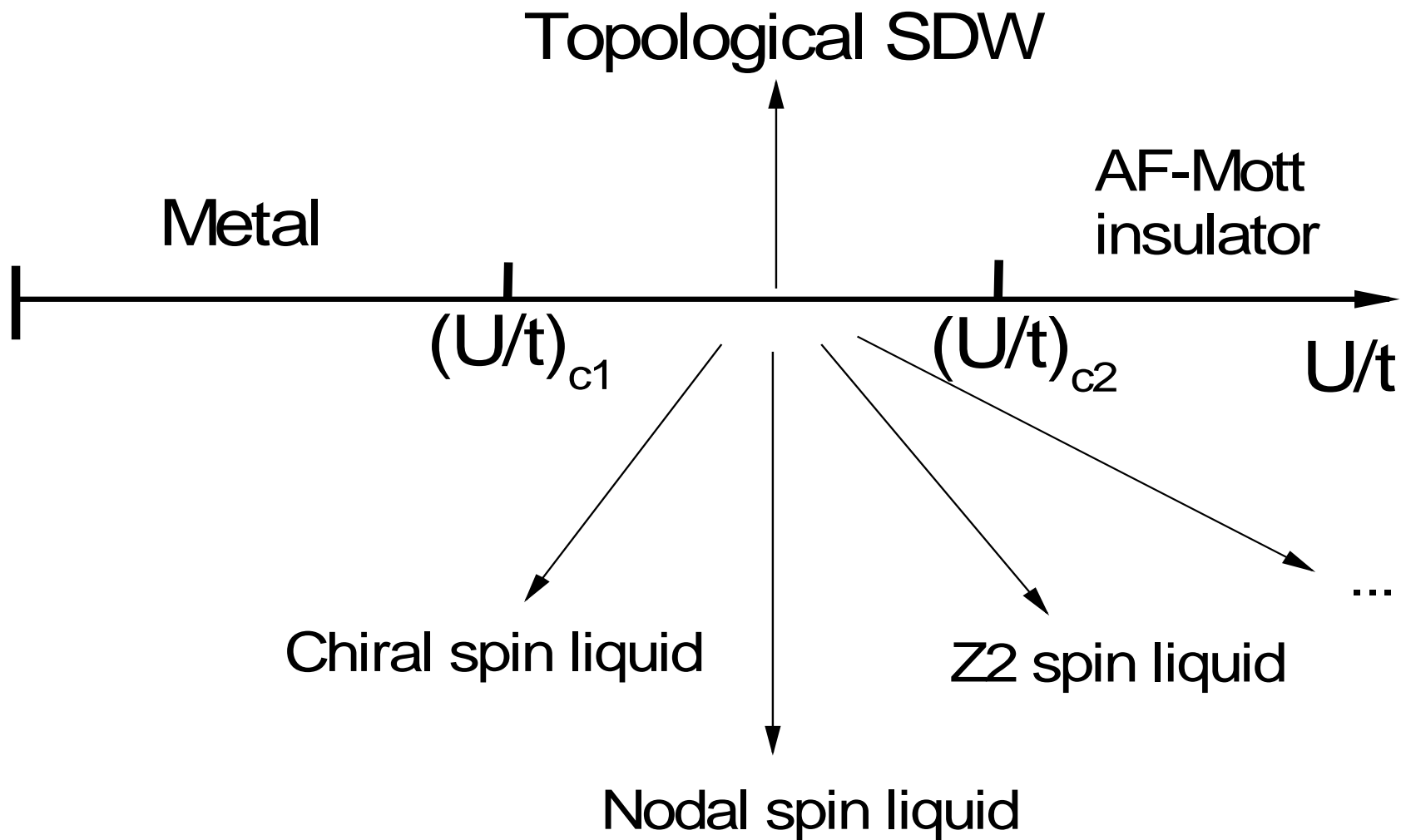
# 多体物理新奇物态

```
graph TD; A([多体物理新奇物态]) --> B([关联]); A --> C([拓扑]); B <--> C;
```

关联



拓扑

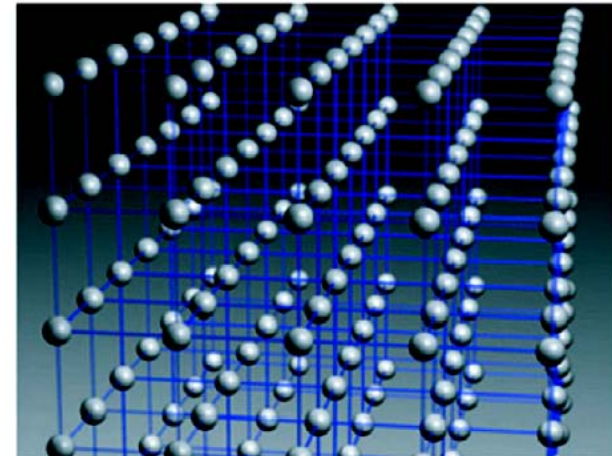
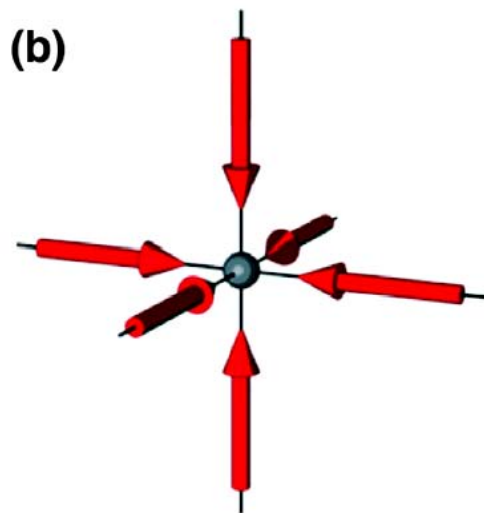
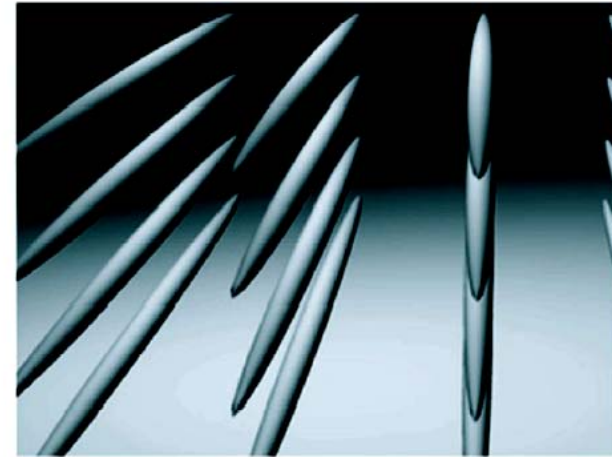
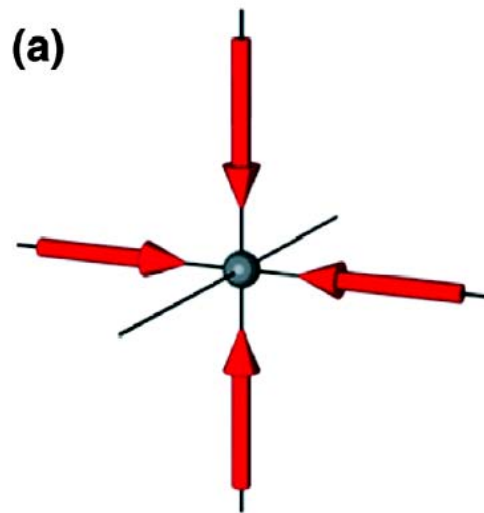


# III. Realization in optical lattice

Possible experimental realizations of the generalized Hubbard model in optical lattices :

1. *The Hubbard model*
2. *The  $\pi$ -Hubbard model*
3. *The Hubbard model on honeycomb lattice*
4. *The interacting spinful Haldane model*

**Optical lattices in  
cold atoms  
– many-body  
systems in  
different  
dimensions with  
tunable  
parameters**

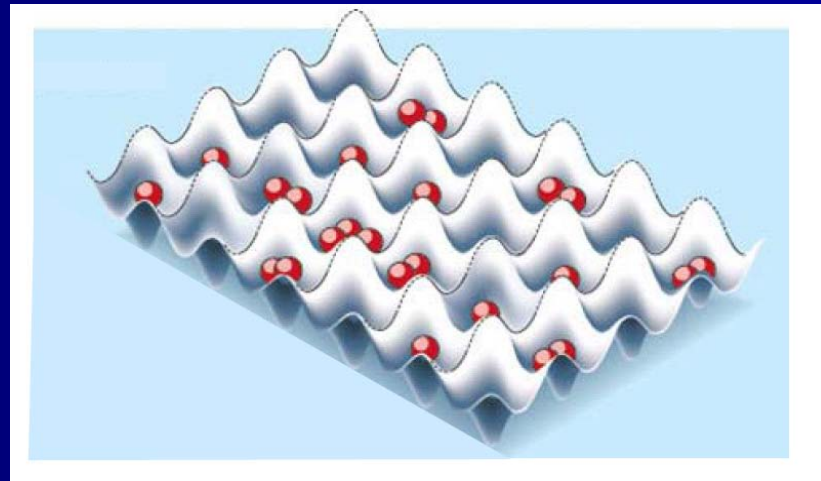
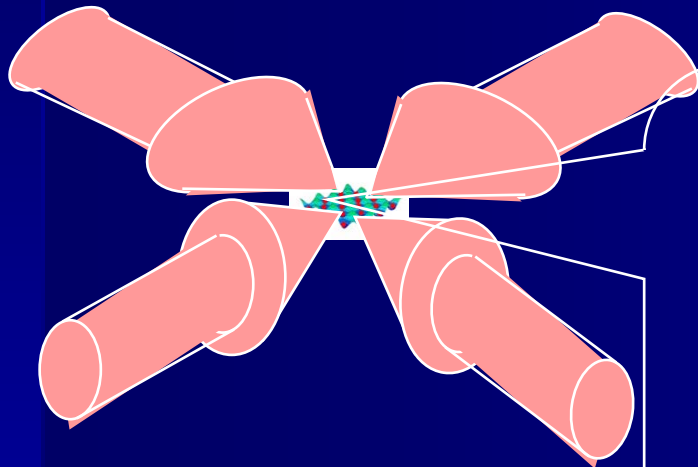


Bloch, I., Dalibard, J. & Zwirger, W..  
*Rev. Mod. Phys.* 80, 885 (2008)

# The Hubbard model on square lattice

Greiner et al., Nature (2001)

Jaksch et al. PRL (1998)



# The $\pi$ -Hubbard model on square lattice

- A U(1) adiabatic phase is created by two laser beams for the tunneling of atoms between neighbor lattice sites

$$U = \begin{pmatrix} \cos \theta & -\sin \theta e^{iqz} & 0 & 0 \\ \frac{\sqrt{2}}{2} \sin \theta e^{-iqz} & \frac{\sqrt{2}}{2} \cos \theta & -\frac{\sqrt{2}}{2} e^{-iq_2 z} & 0 \\ \frac{\sqrt{2}}{2} \sin \theta e^{-iqz} & \frac{\sqrt{2}}{2} \cos \theta & \frac{\sqrt{2}}{2} e^{-iq_2 z} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\tilde{\mathbf{A}} = i\hbar U \nabla U^\dagger$$

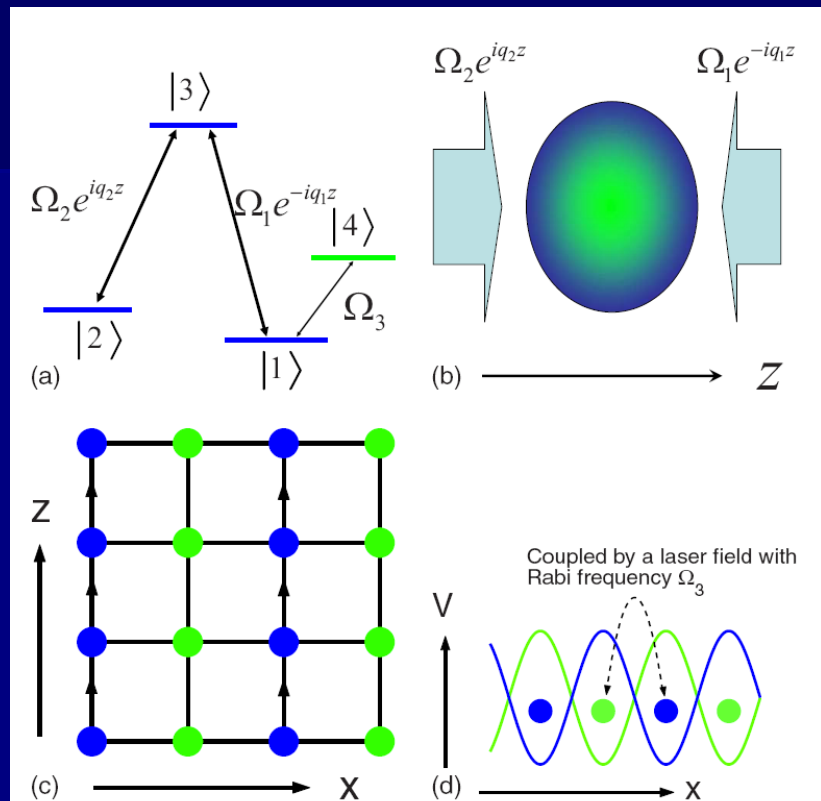
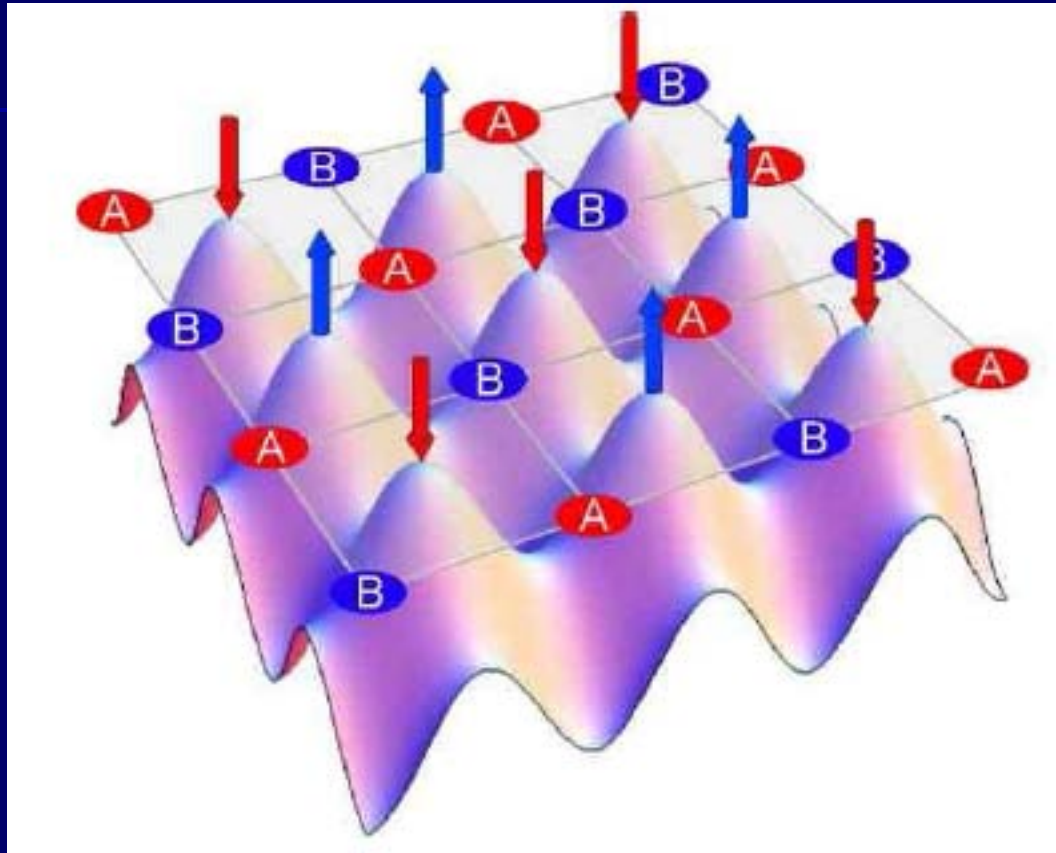


FIG. 1. (Color online). (a) The atomic levels and the interactions between atoms and laser fields. (b) Schematic representation of the experimental setup with the two laser beams incident on the cloud of atoms. (c) Schematic of the square optical lattice and the designed phase factor (denoted by arrows). (d) The scheme of overlapping the two state-selective optical lattices.

The staggered flux phase has been proposed to be realized in optical lattice of cold atoms



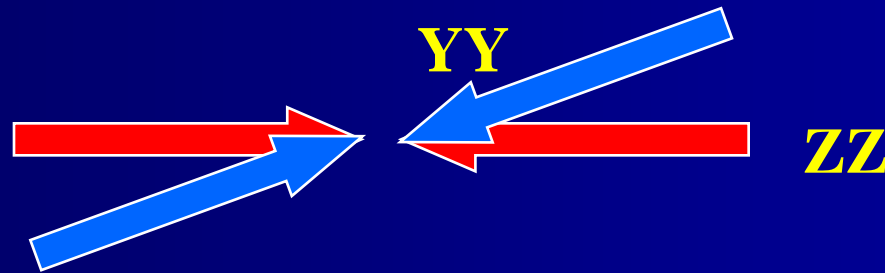
L.-K. Lim, C. Morais Smith, and A. Hemmerich, Phys. Rev. Lett. 100, 130402 (2008).

Lih-King Lim, Achilleas Lazarides, Andreas Hemmerich, C. Morais Smith, arXiv:0905.1281



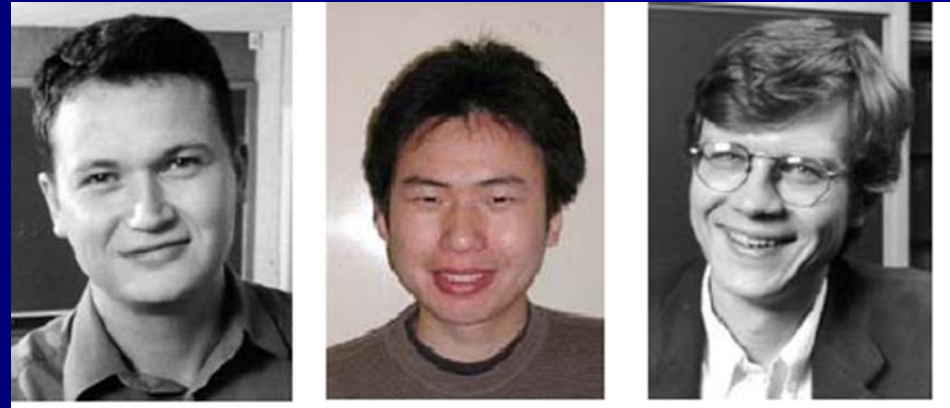
# The Hubbard model on the honeycomb lattice in cold atoms

- Optical lattice in 2 dimensions: polarizations & frequencies of standing waves can be different for different directions



Hubbard model on honeycomb lattice Can be created with 3 sets of standing wave light beams.

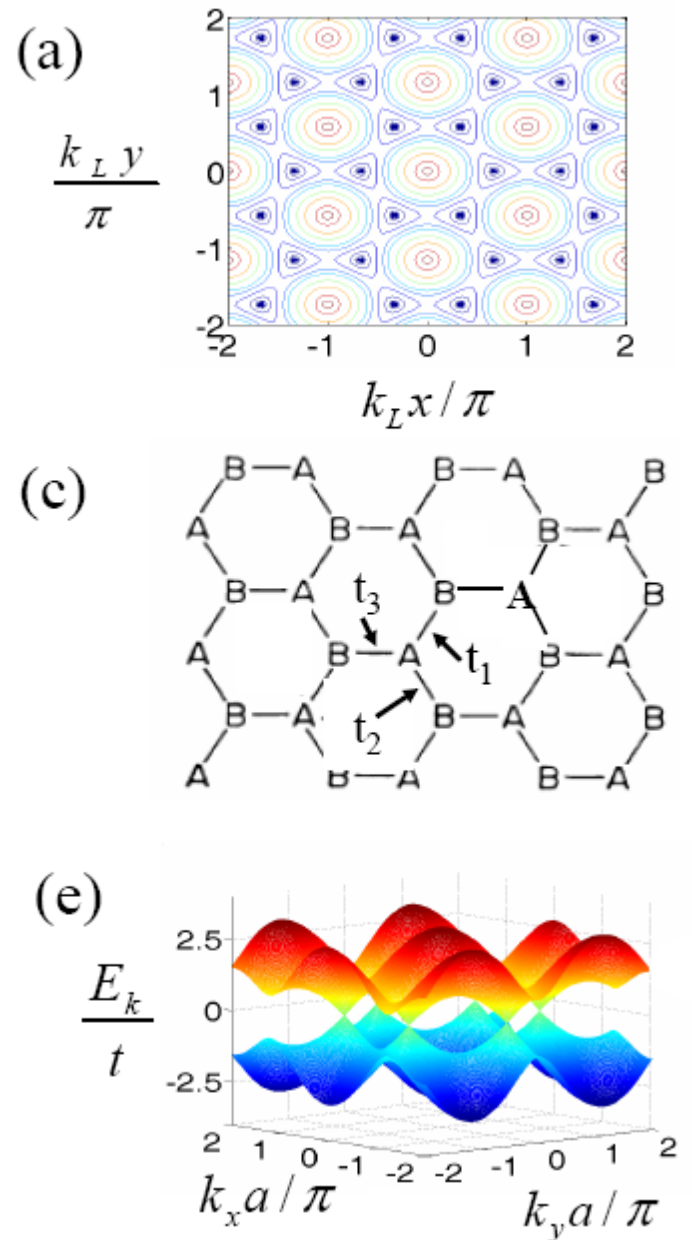
L.-M. Duan, E. Demler, and M. D. Lukin,  
Phys. Rev. Lett. 91, 090402



$$V(x, y) = \sum_{j=1,2,3} V_j \sin^2[k_L(x \cos \theta_j + y \sin \theta_j) + \pi/2]$$

where  $\theta_1 = \pi/3$ ,  $\theta_2 = 2\pi/3$ ,  $\theta_3 = 0$

Shi-Liang Zhu, Baigeng Wang, L.-M. Duan,  
Phys. Rev. Lett 98, 260402 (2007)



# The interacting spinful Haldane model

- For the Hubbard model on honeycomb lattice, one consider next nearest neighbor hopping and artificial magnetic field.

L. B. Shao, Shi-Liang Zhu, L. Sheng, D. Y. Xing, Z. D. Wang, Phys. Rev. Lett.101,246810 (2008)

S. L. Zhu et al., Phys. Rev. Lett 97, 240401(2006).

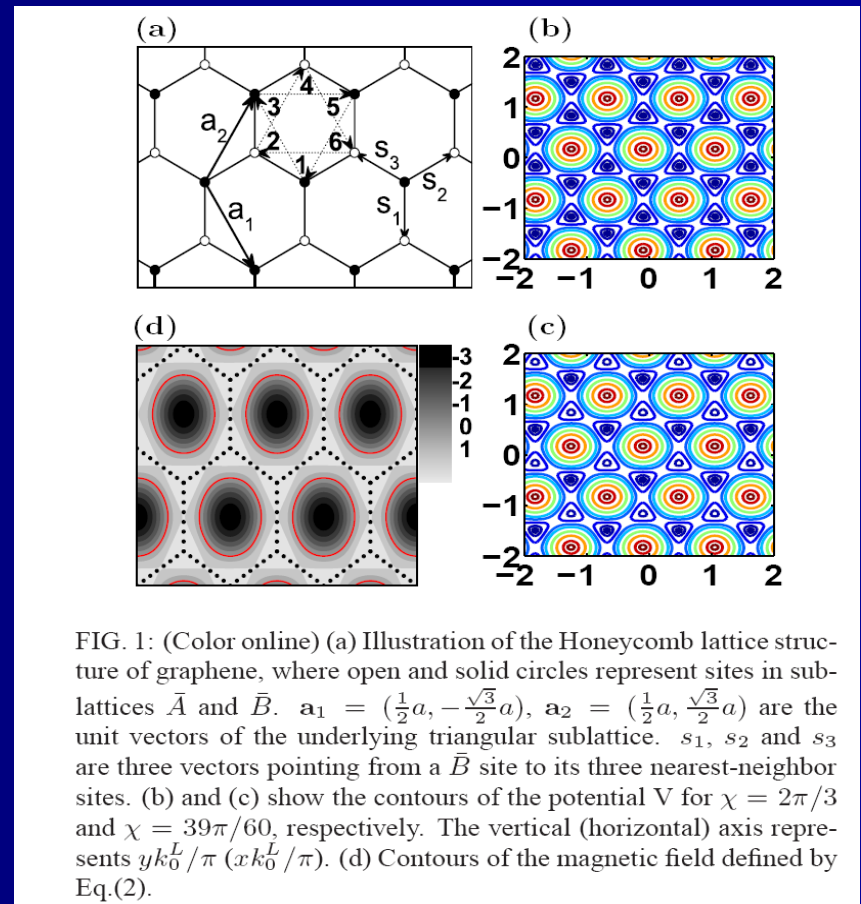


FIG. 1: (Color online) (a) Illustration of the Honeycomb lattice structure of graphene, where open and solid circles represent sites in sublattices  $\bar{A}$  and  $\bar{B}$ .  $\mathbf{a}_1 = (\frac{1}{2}a, -\frac{\sqrt{3}}{2}a)$ ,  $\mathbf{a}_2 = (\frac{1}{2}a, \frac{\sqrt{3}}{2}a)$  are the unit vectors of the underlying triangular sublattice.  $\mathbf{s}_1, \mathbf{s}_2$  and  $\mathbf{s}_3$  are three vectors pointing from a  $\bar{B}$  site to its three nearest-neighbor sites. (b) and (c) show the contours of the potential  $V$  for  $\chi = 2\pi/3$  and  $\chi = 39\pi/60$ , respectively. The vertical (horizontal) axis represents  $yk_0^L/\pi$  ( $xk_0^L/\pi$ ). (d) Contours of the magnetic field defined by Eq.(2).

**Thanks for your attention!**